

# Crystal Growth: Physics, Technology and Modeling

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## Lecture 2. Epitaxy of semiconductor structures

<http://www.unipress.waw.pl/~stach/cg-2021-22>

# Epitaxy of semiconductor structures

Michał Leszczyński

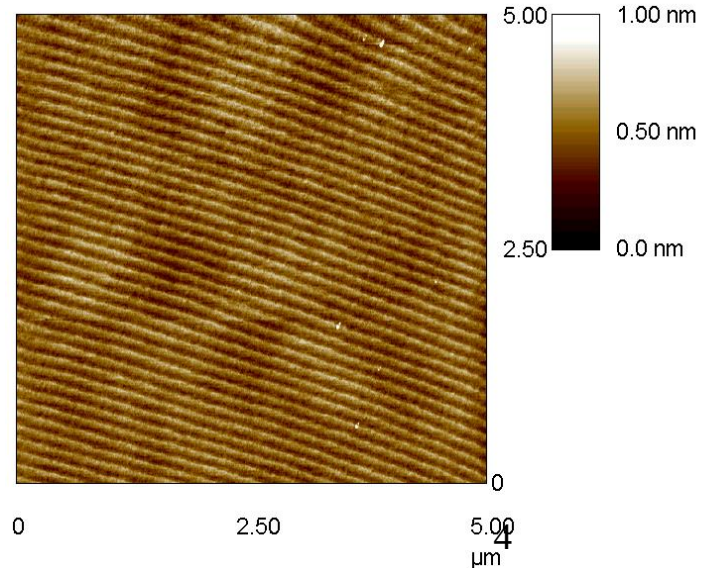
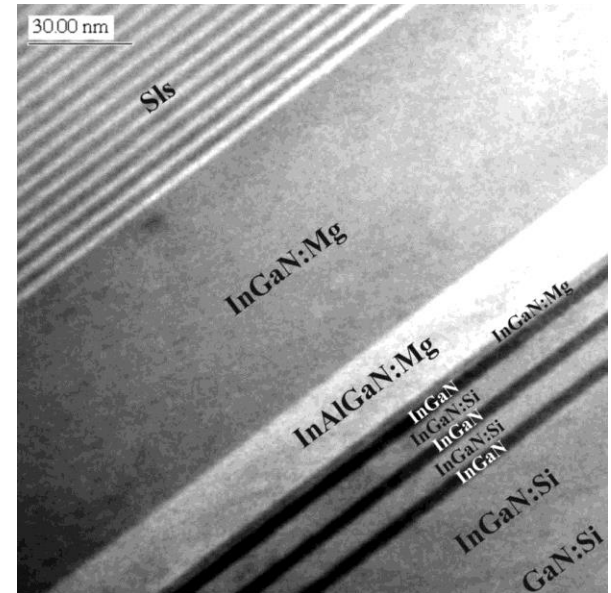
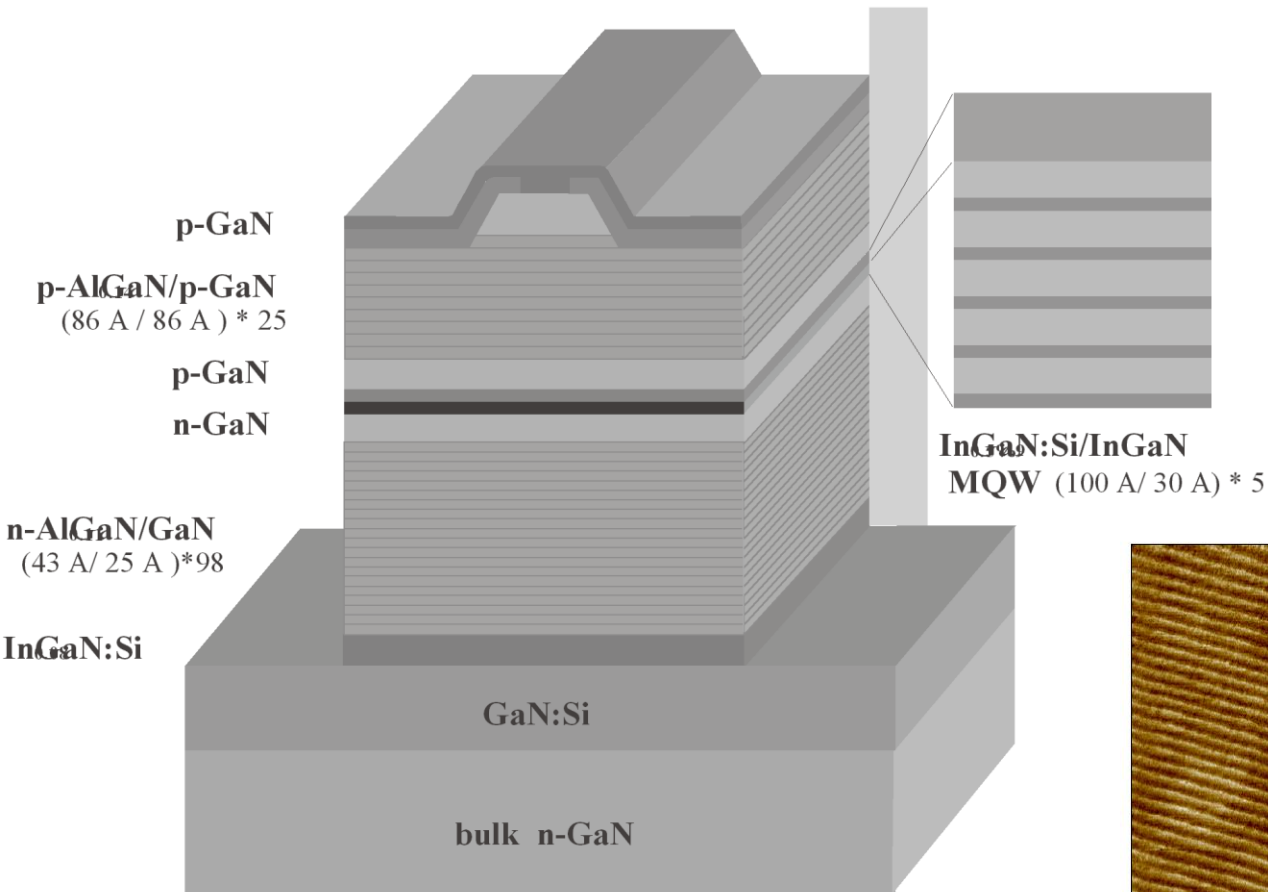
*Instytut Wysokich Ciśnień PAN*

*And TopGaN*

# Outline

1. Epitaxial reactors
2. Substrate off-cut
3. Problem of lattice mismatch
4. Non-lateral growth
5. Atom incorporation efficiency
6. Examples of MOVPE growth: InGaN Quantum Wells

# What is to be grown? For example, blue LD.

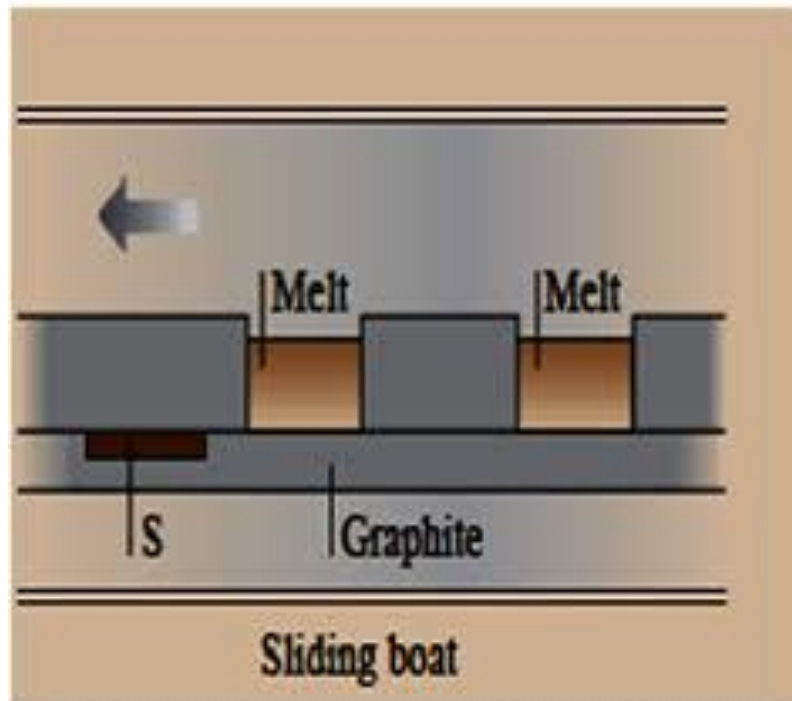


# What we need from epitaxy:

1. Proper chemical composition (including doping)
2. No defects (dislocations, vacancies, etc)
3. Perfect interfaces
4. Perfect uniformity across the wafers

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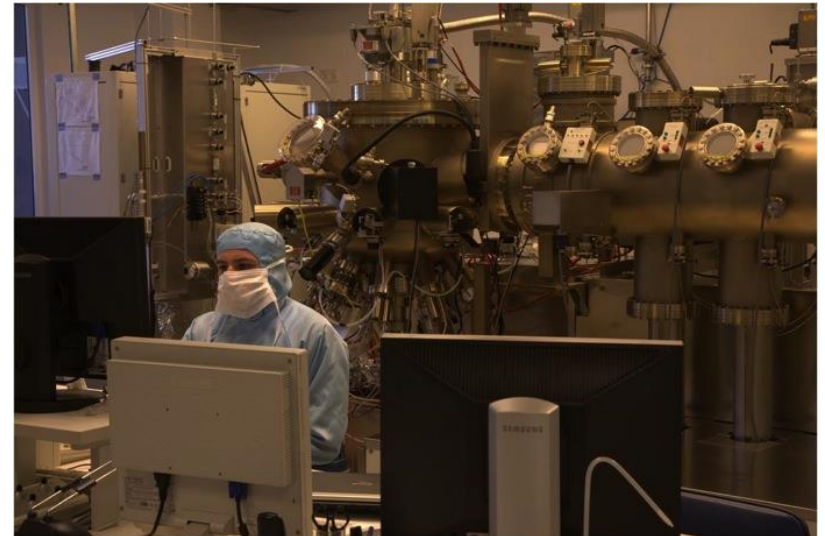
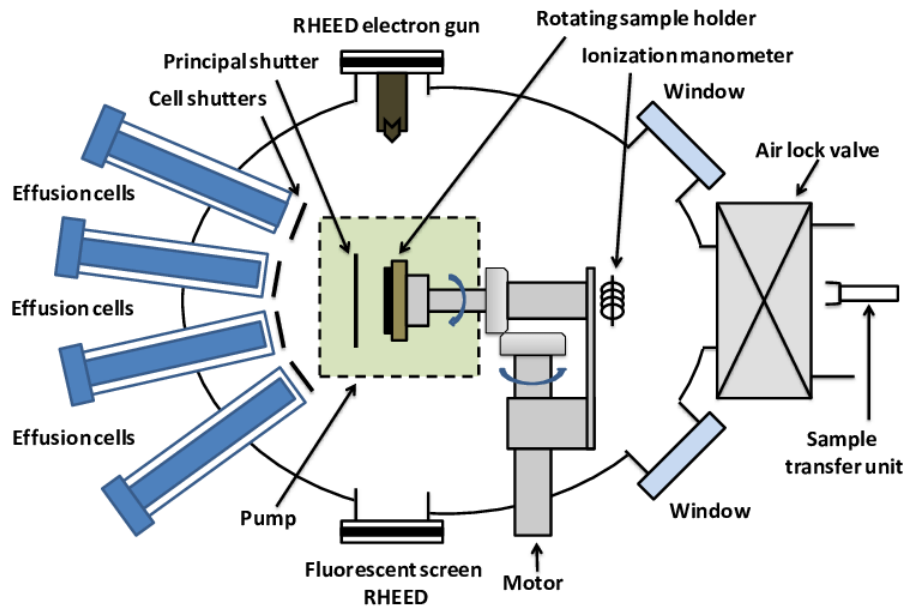
# Liquid Phase Epitaxy (LPE)



**Technology abandoned  
by industry**

- Only small wafers
- Not perfect interfaces
- Not highest purity

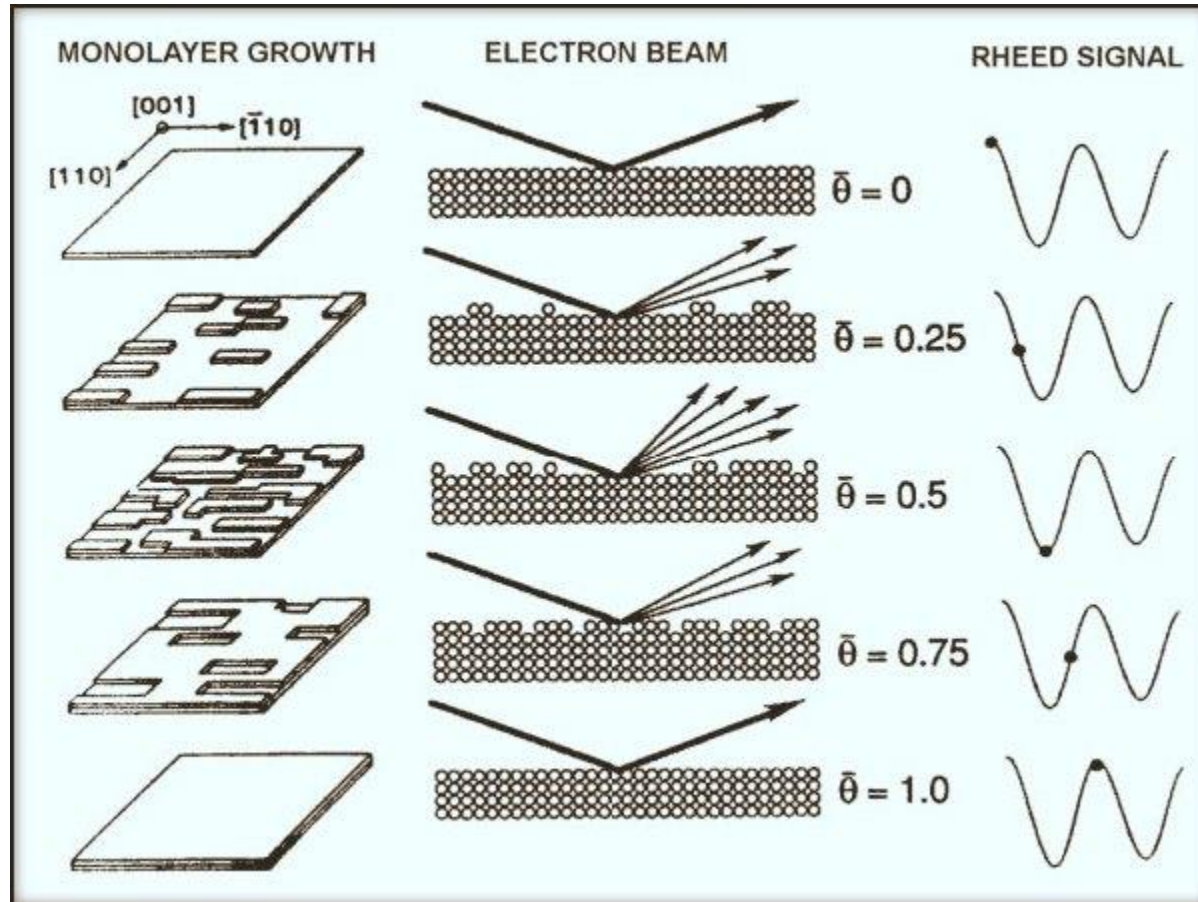
# Molecular Beam Epitaxy (MBE)



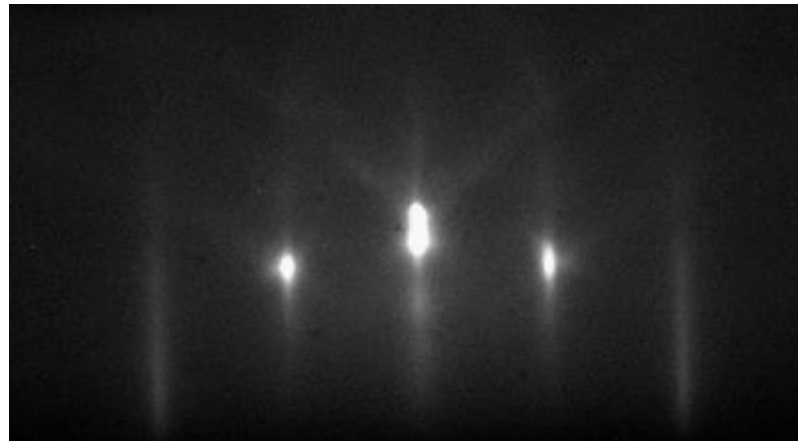
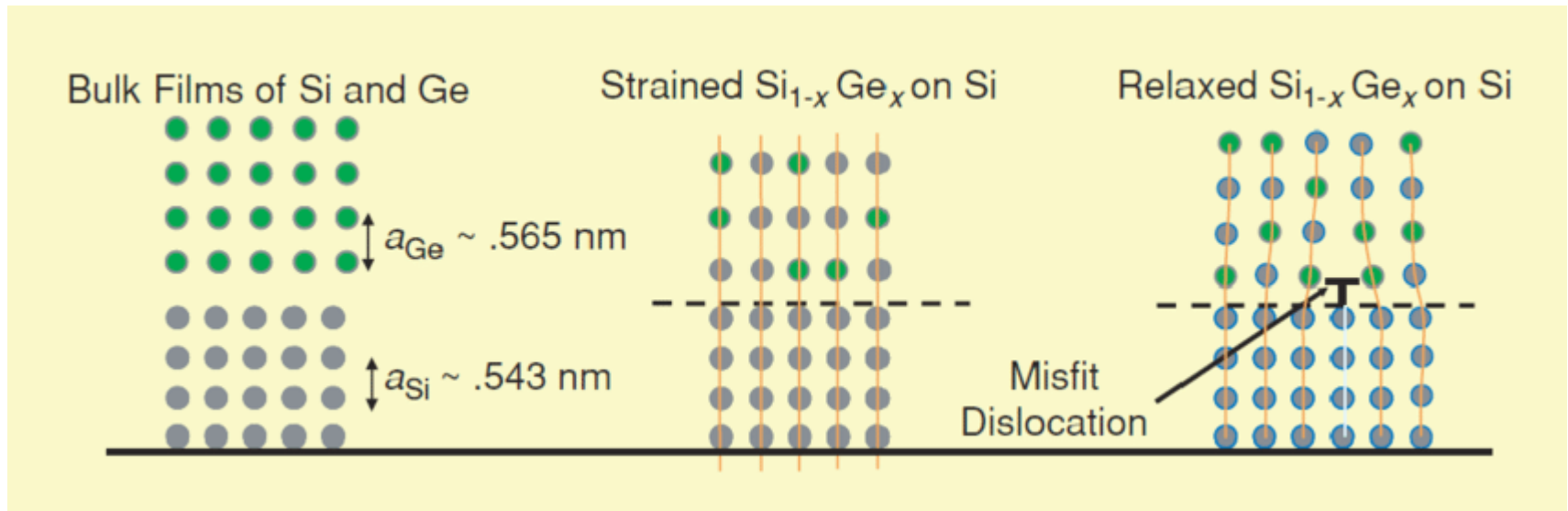
- + High purity, perfect interfaces, no hydrogen in the system
- Costs, no multiwafer machines (one six-inch wafer)



# RHEED growth rate and roughness control



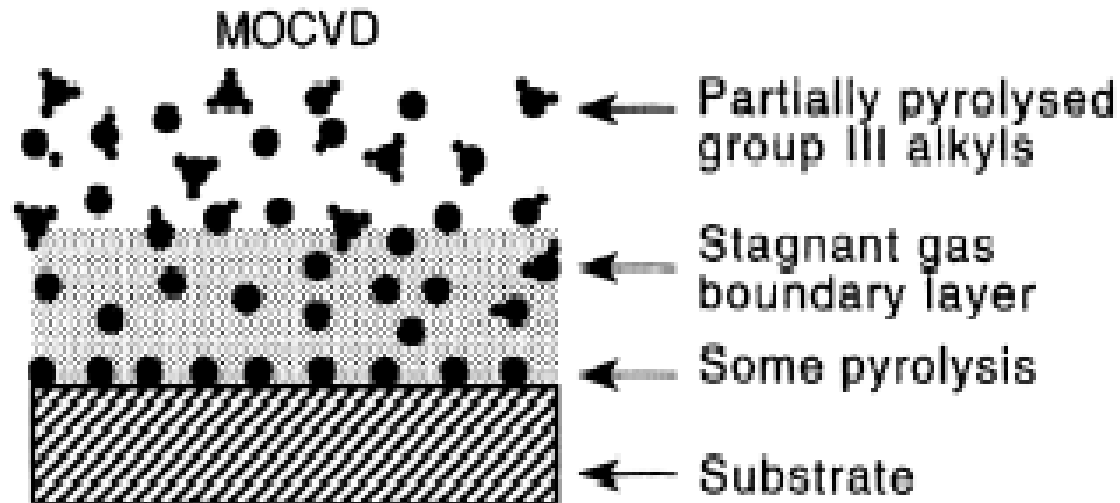
# RHEED: lattice relaxation control



# Metalorganic Chemical Vapour Phase Epitaxy MOVPE



$A = Ga, In, Al, B = N, As, P$



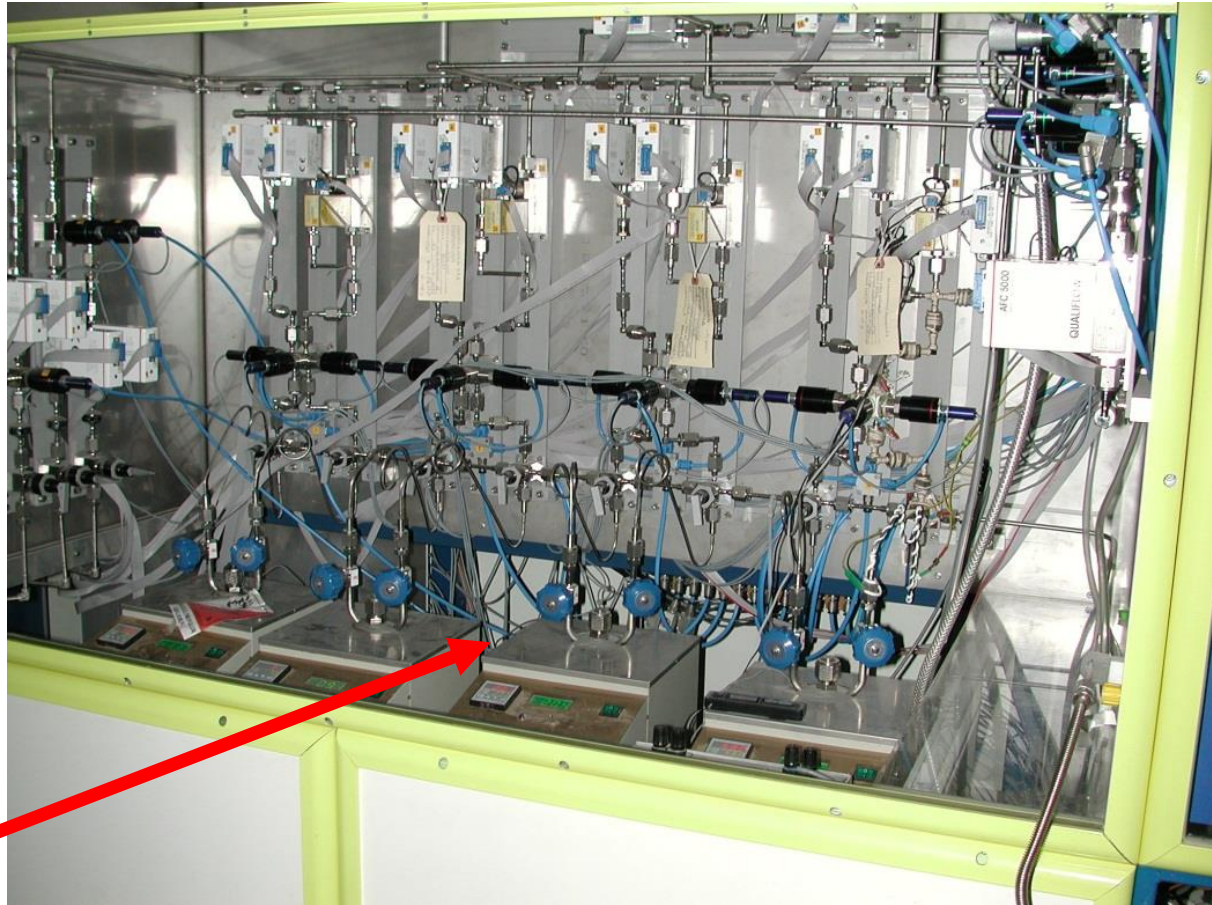
## Gas panel, MOVPE, AlGaInN:Mg, Si

Purifiers

Carrier gas  
N<sub>2</sub>, H<sub>2</sub>

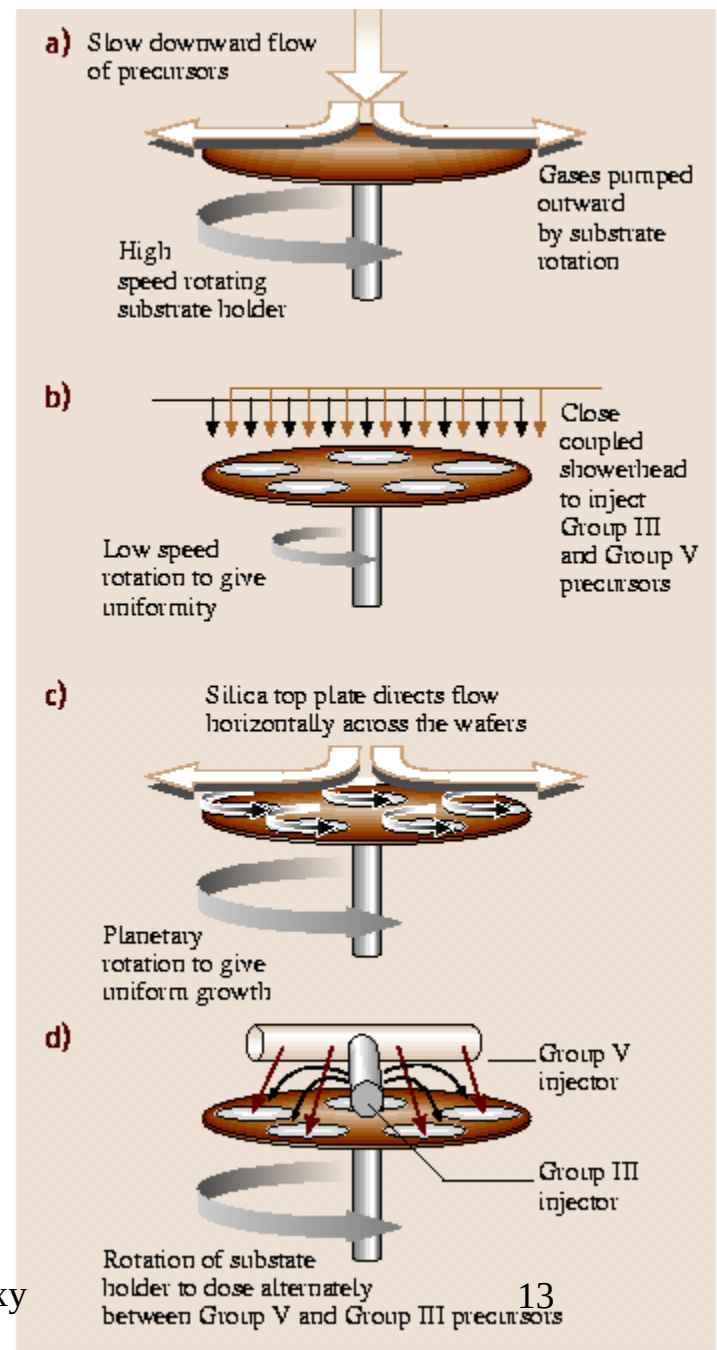
NH<sub>3</sub>  
SiH<sub>4</sub>

Bubblers  
TMGa  
TEGa  
TMAI  
TMIn  
Cp<sub>2</sub>Mg



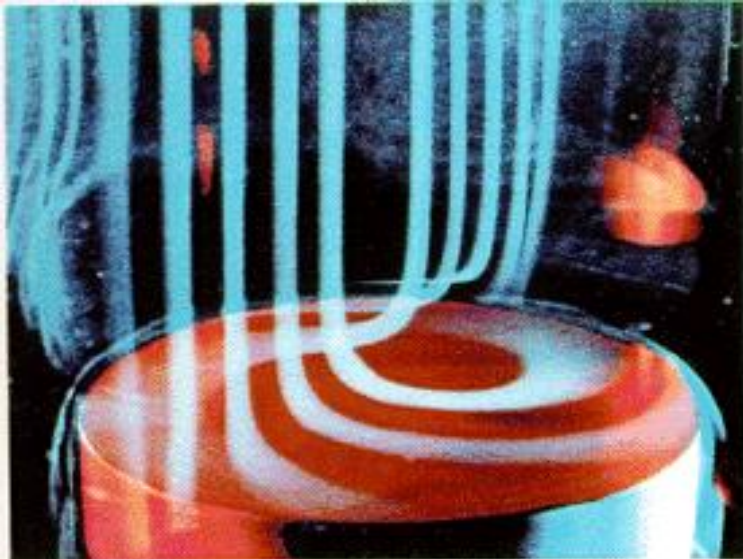
To reactor

# MOVPE reactors





# Turbodisc VEECO

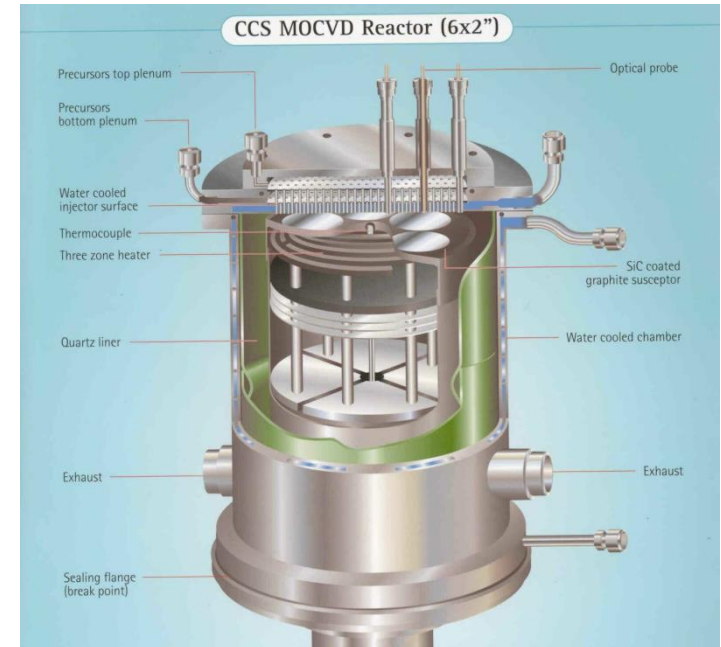
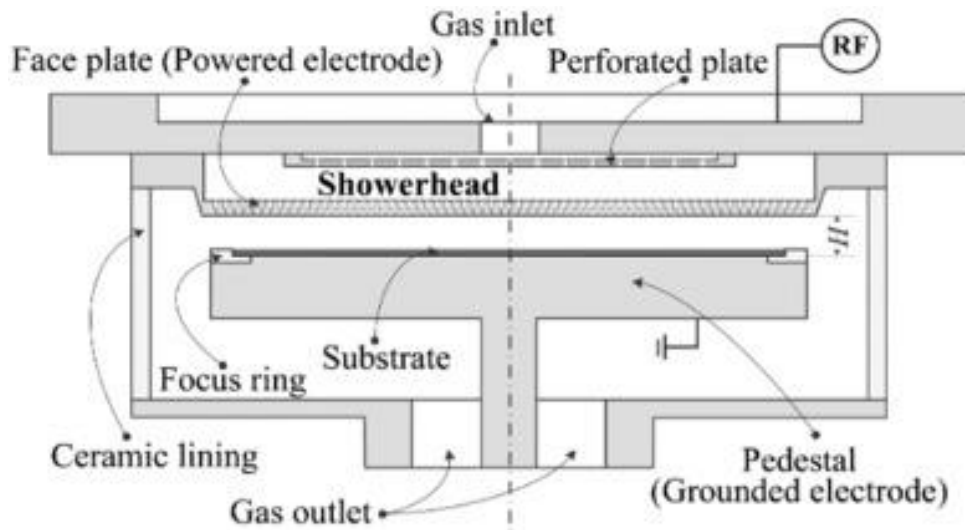


Smoke Flow Patterns in Rotating Disk System (EXPERIMENTAL).  
(Data courtesy of Sandia National Laboratories)

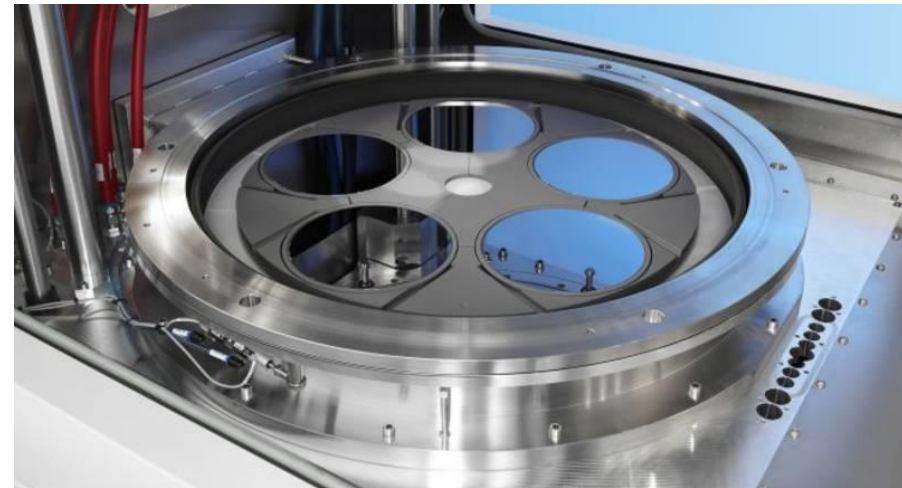


**The largest system: 31×4", 12×6" and 6×8"**

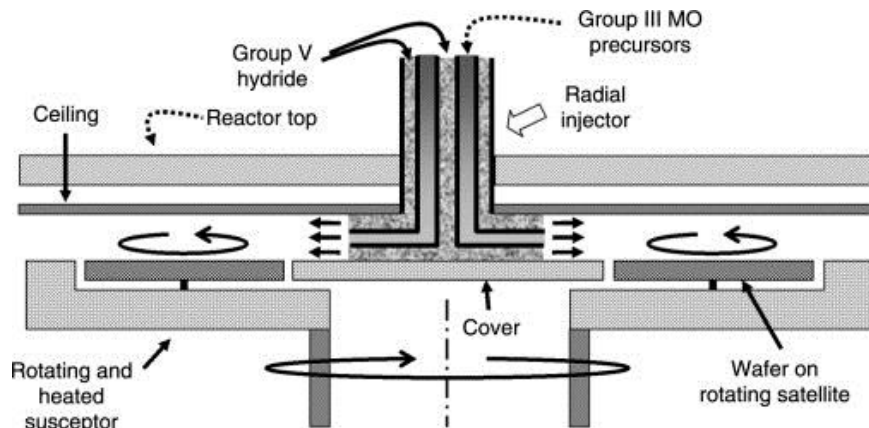
# Showerhead (AIXTRON)



# Planetary reactors (AIXTRON)



5x8-inch

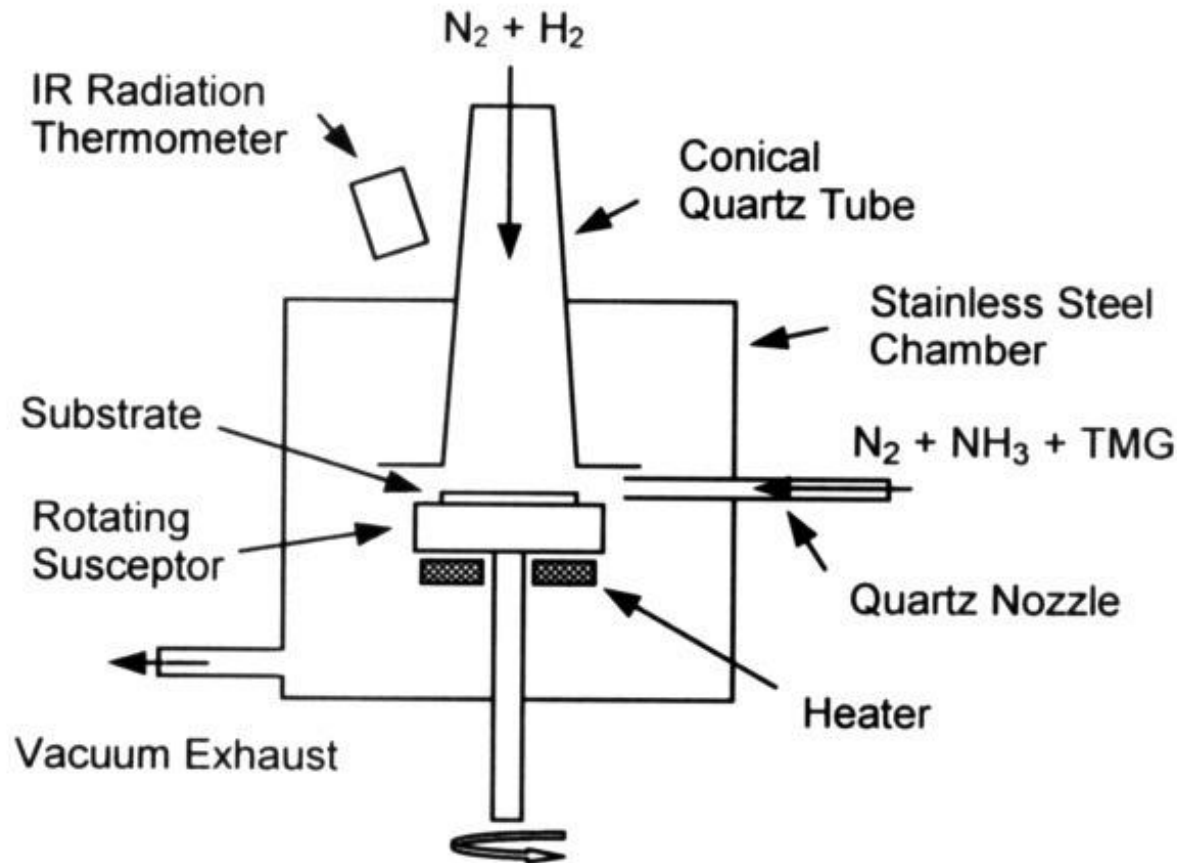


56x2-inch  
19.10.2021 – Epitaxy

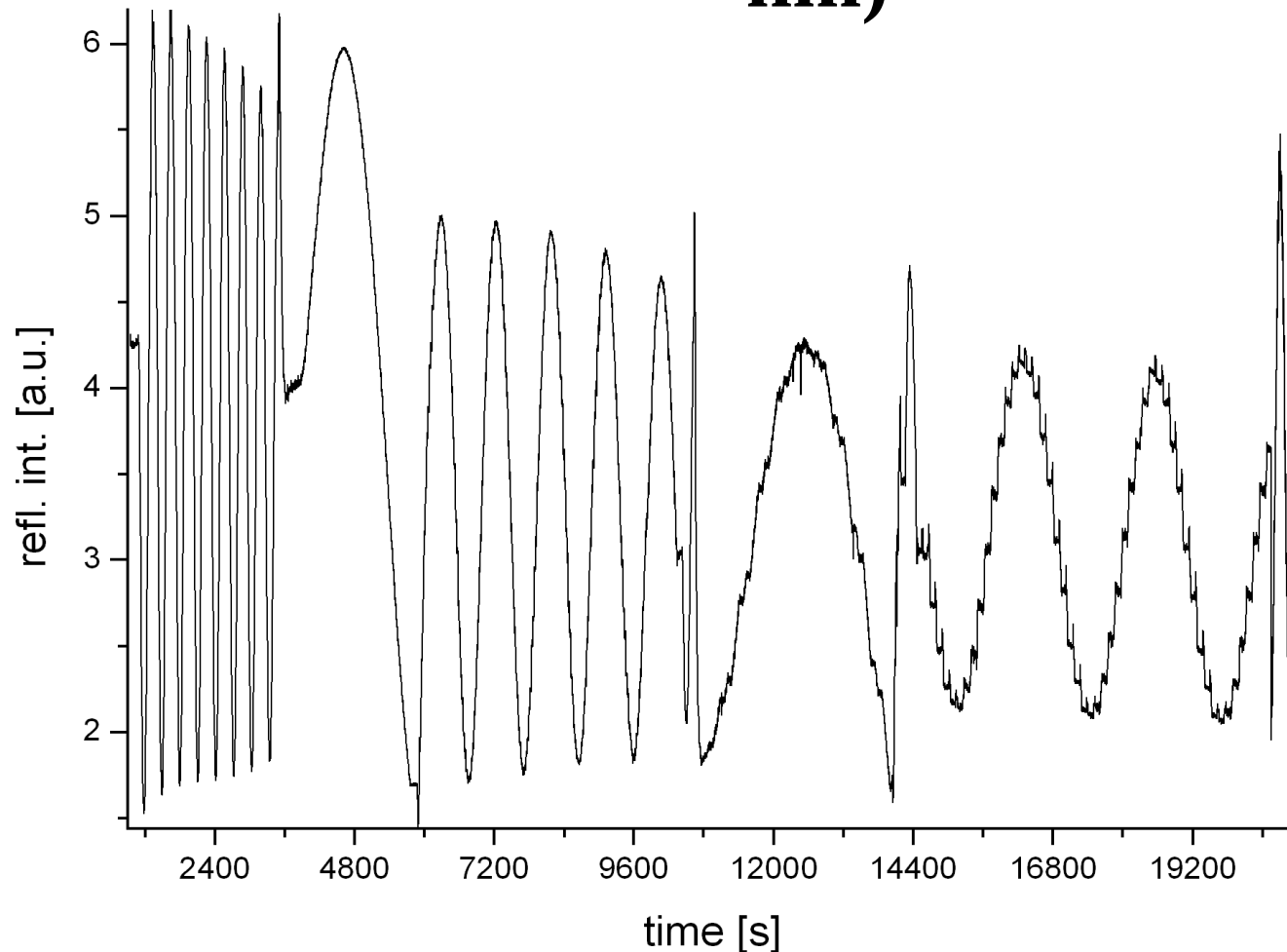


# Nakamura's reactor (Nichia)

A little bit similar reactors are offered by Nippon Sanso



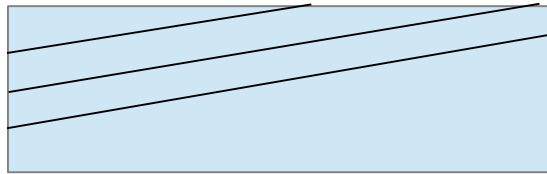
# Laser reflectometry used in every MOVPE (every oscillation corresponds to 100-400 nm)



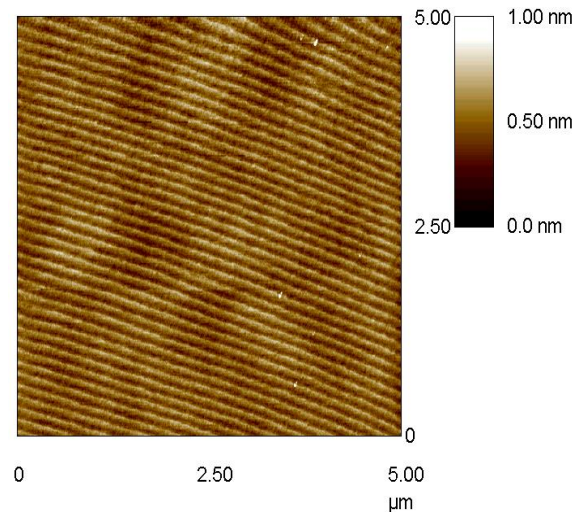
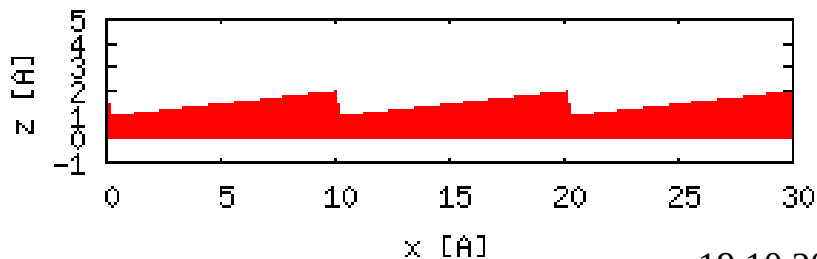
1. Epitaxial reactors
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5. Atom incorporation efficiency
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# Step-flow growth mode and substrate off-orientation

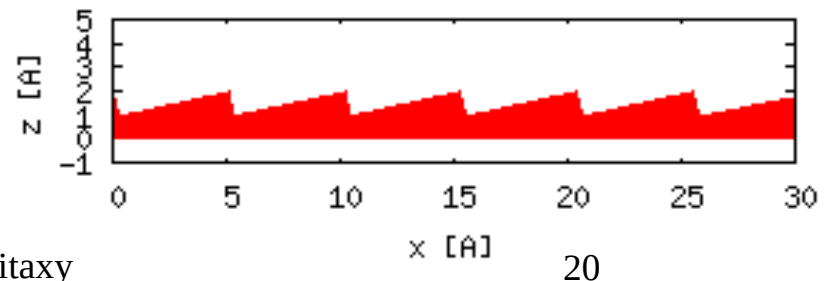
- The steps flow slower for higher off-orientation



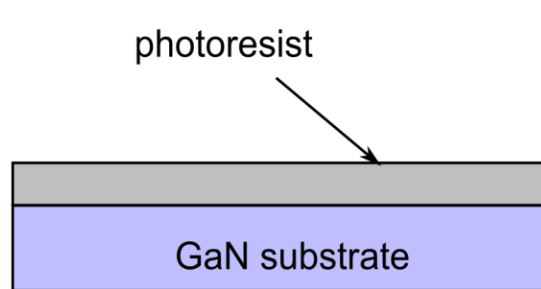
Low misorientation - fast atomic steps



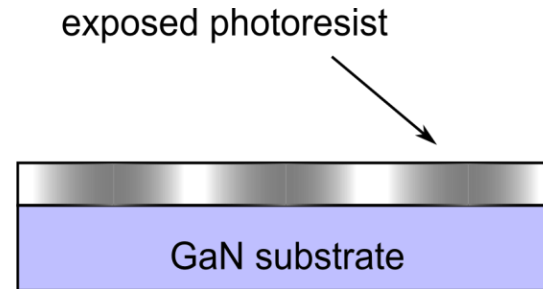
Higher misorientation - slower atomic steps



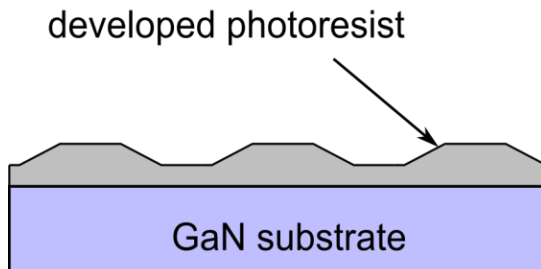
# Lateral patterning



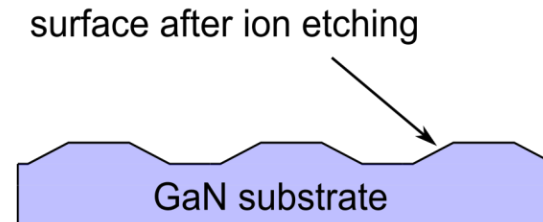
(a)



(b)

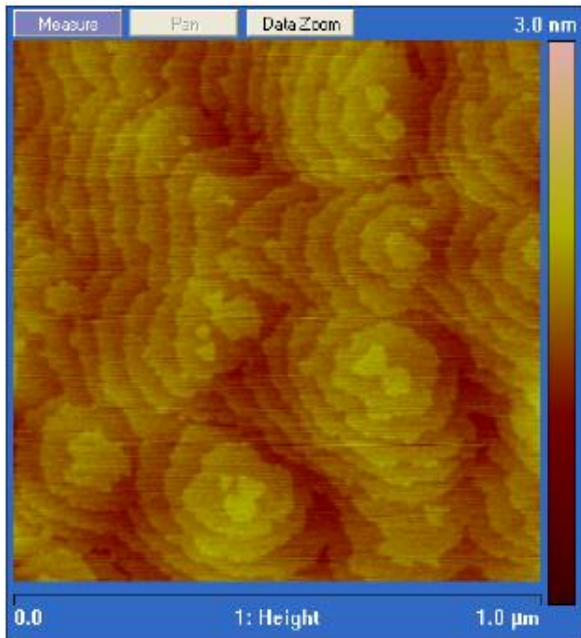


(c)

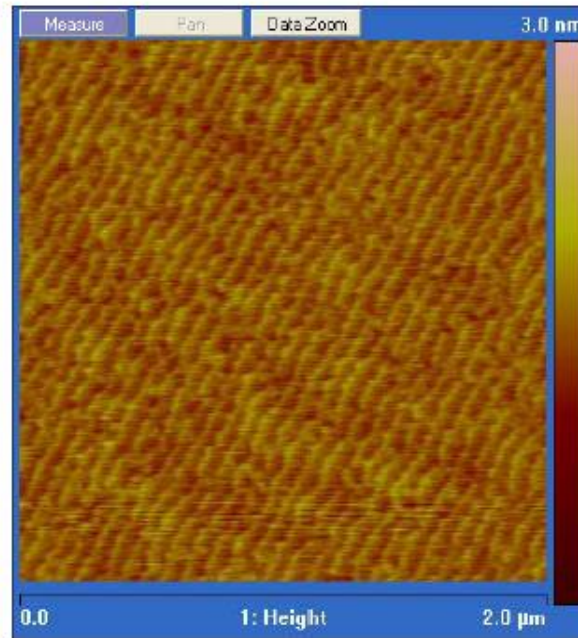


(d)

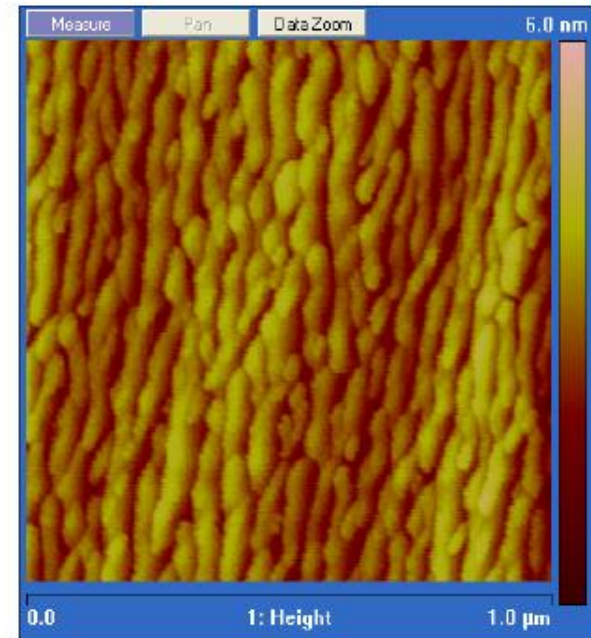
# Morphology of **InGaN** layers grown on GaN substrates of different misorientation, $T_{\text{growth}} = 820^\circ\text{C}$



0.2 degree



0.8 degree

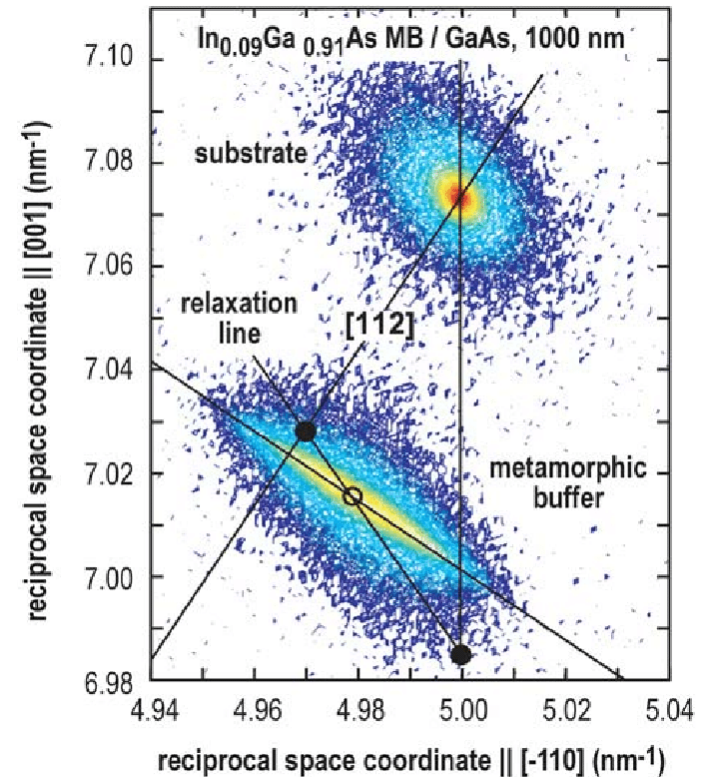
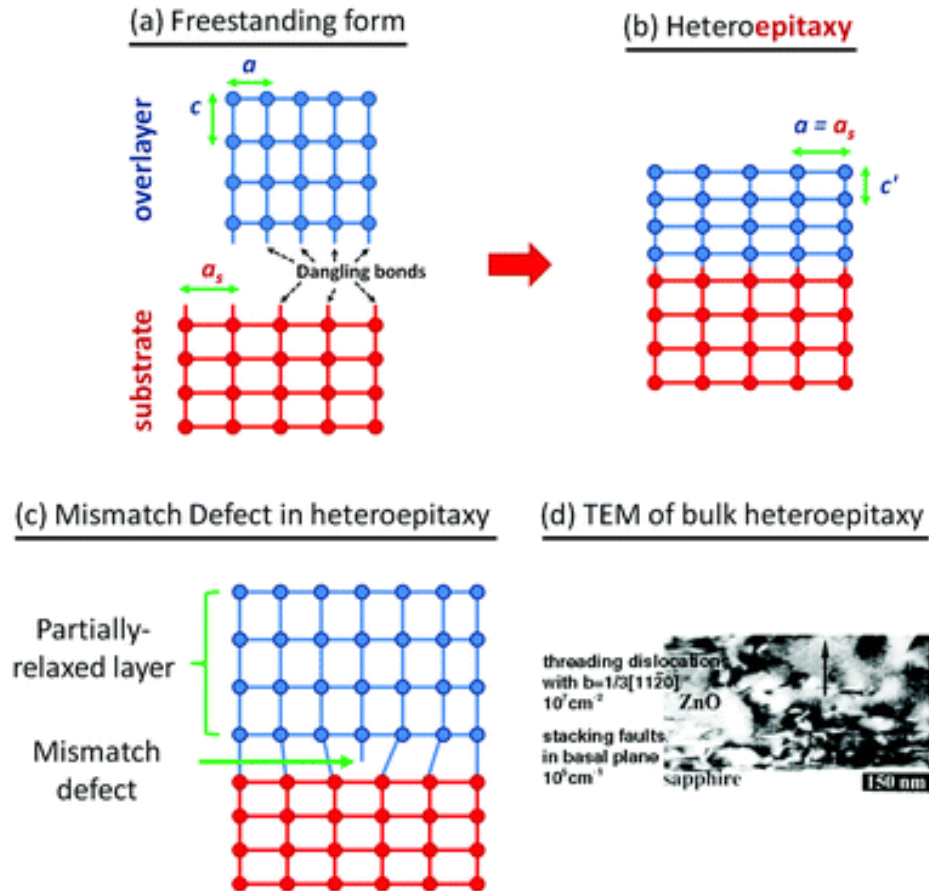


1.8 degree

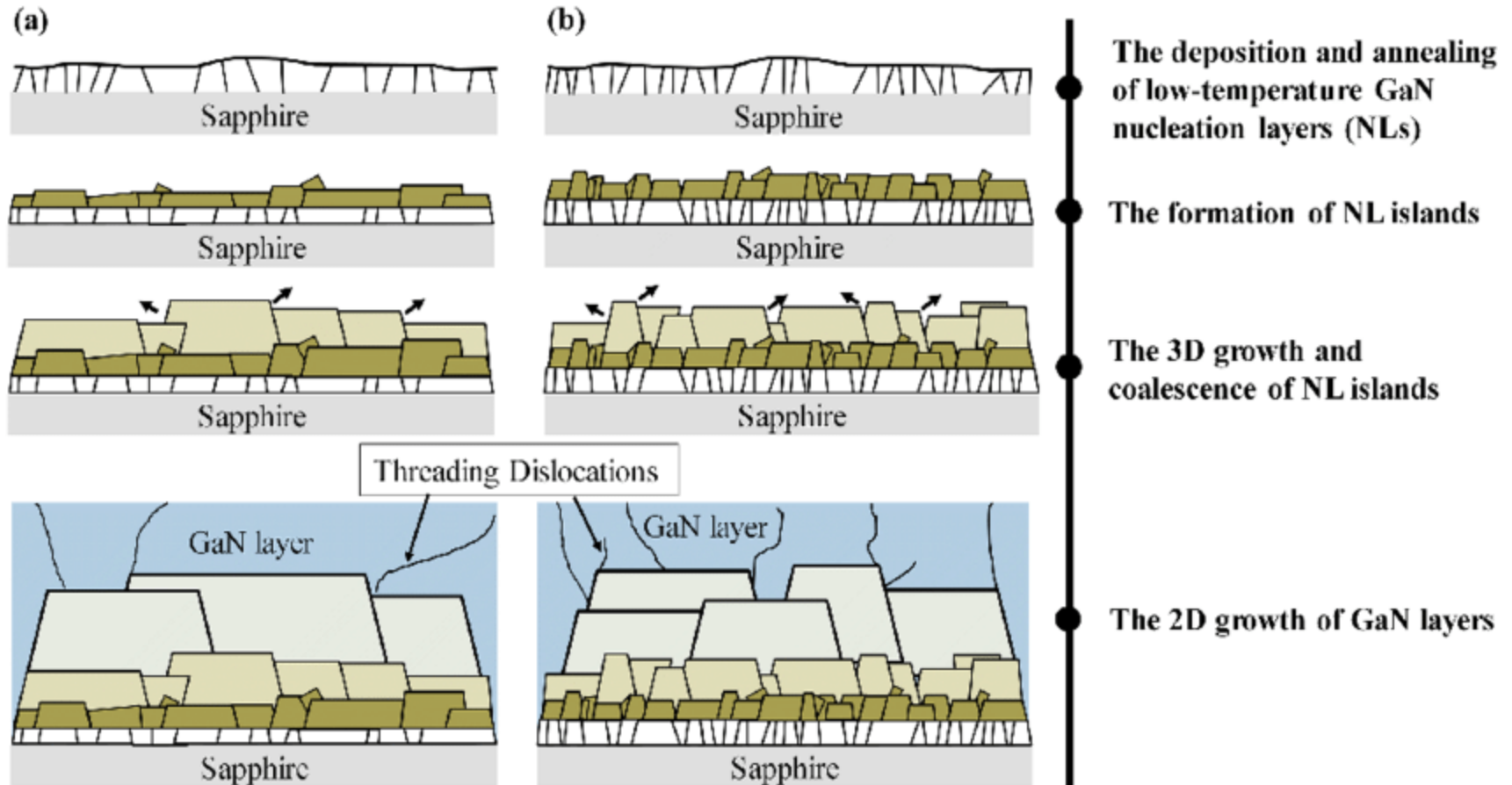
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# Lattice relaxation



# Growth on highly mismatched substrates

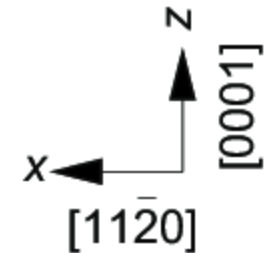
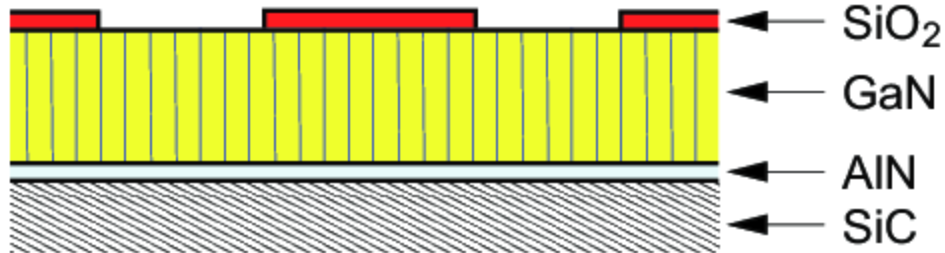


**Schematic of the growth process of GaN layers for (a) samples S1 and (b) S2 with H<sub>2</sub> and N<sub>2</sub> as nucleation layer carrier gases.**

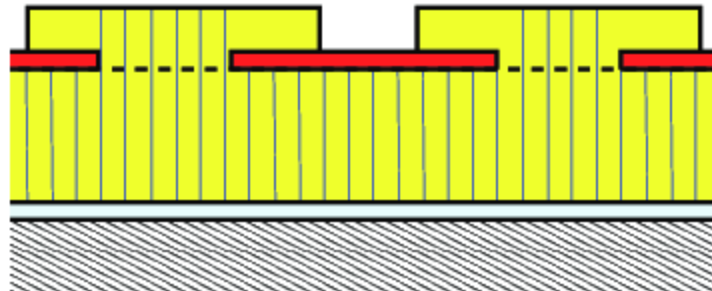
# Epitaxial Lateral Overgrowth

## Lateral epitaxial overgrowth (LEO)

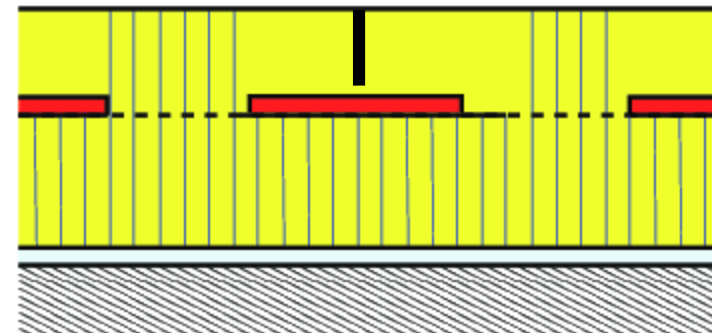
prior to  
overgrowth



uncoalesced  
structure

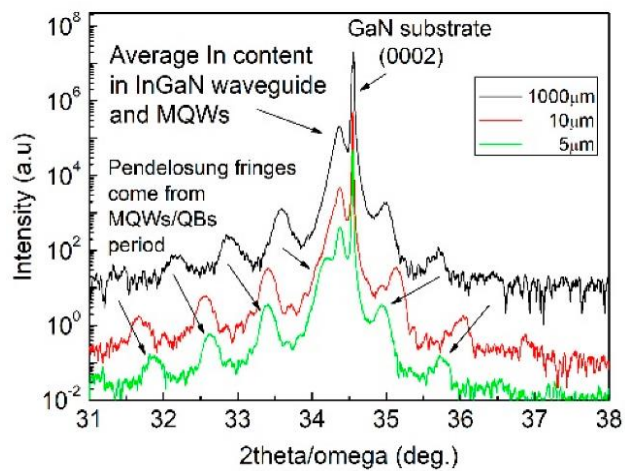
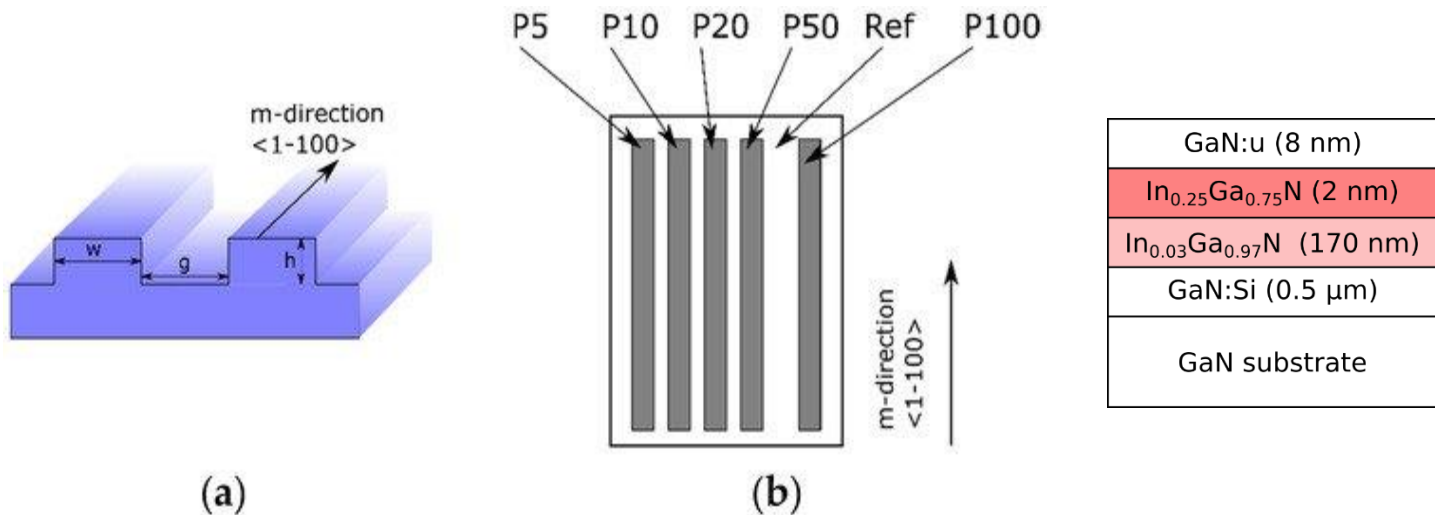


coalesced  
structure



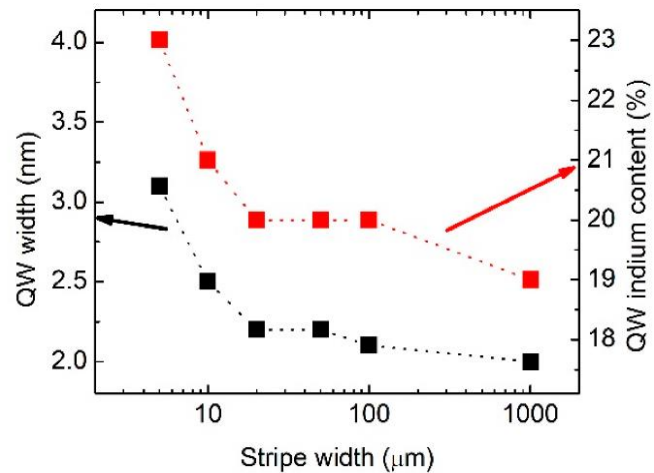
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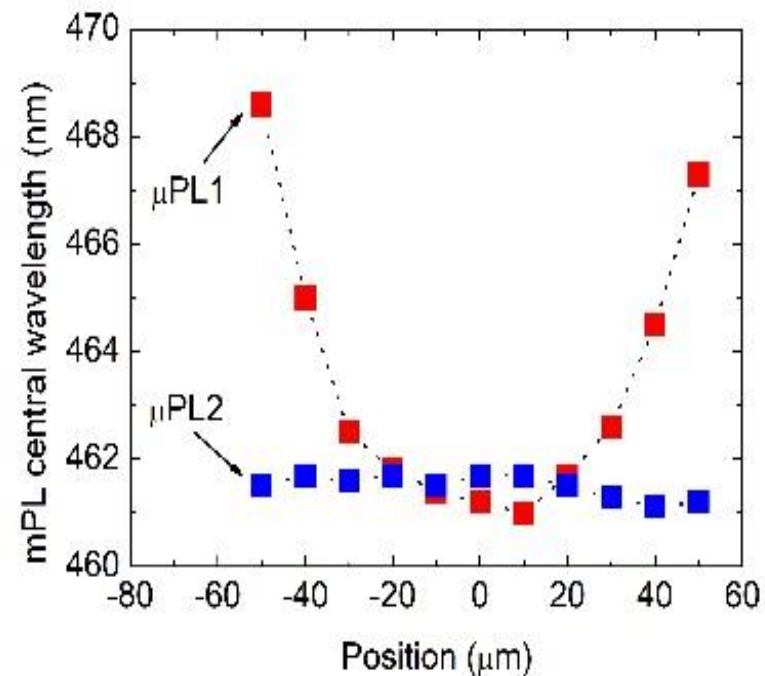
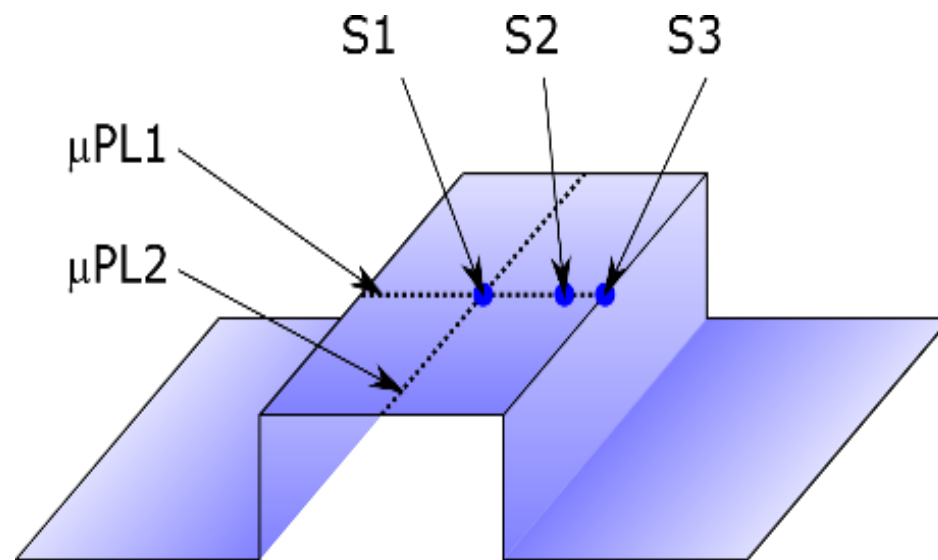


(a)

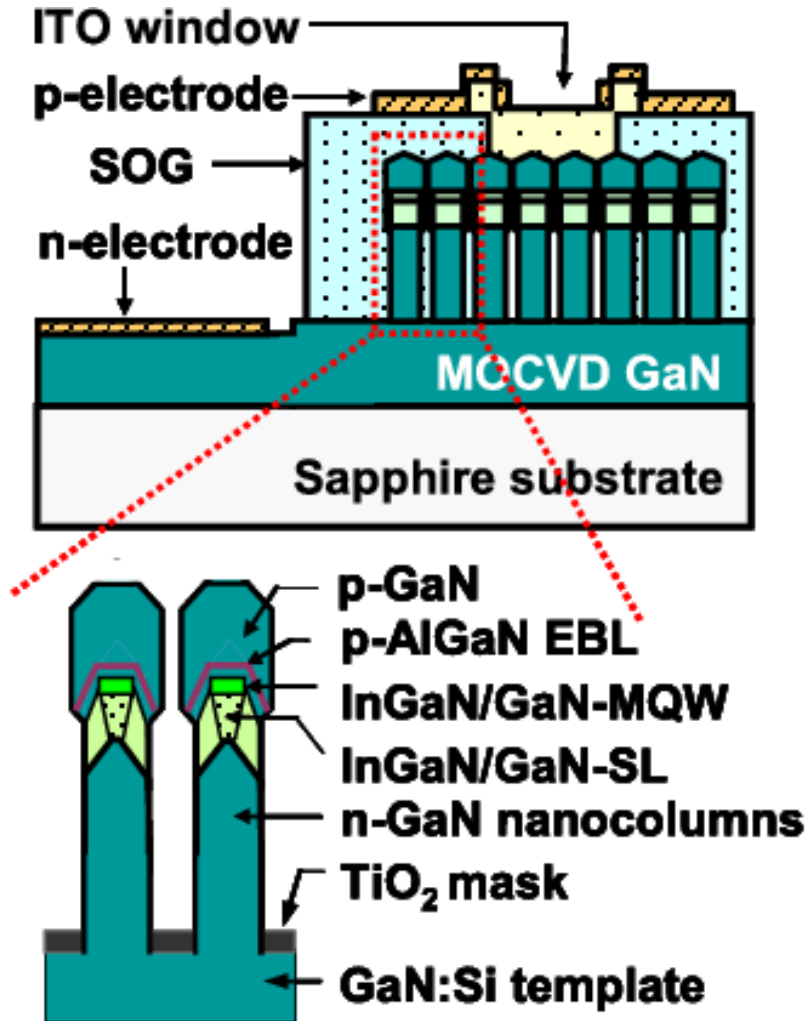
19.10.2021 – Epitaxy



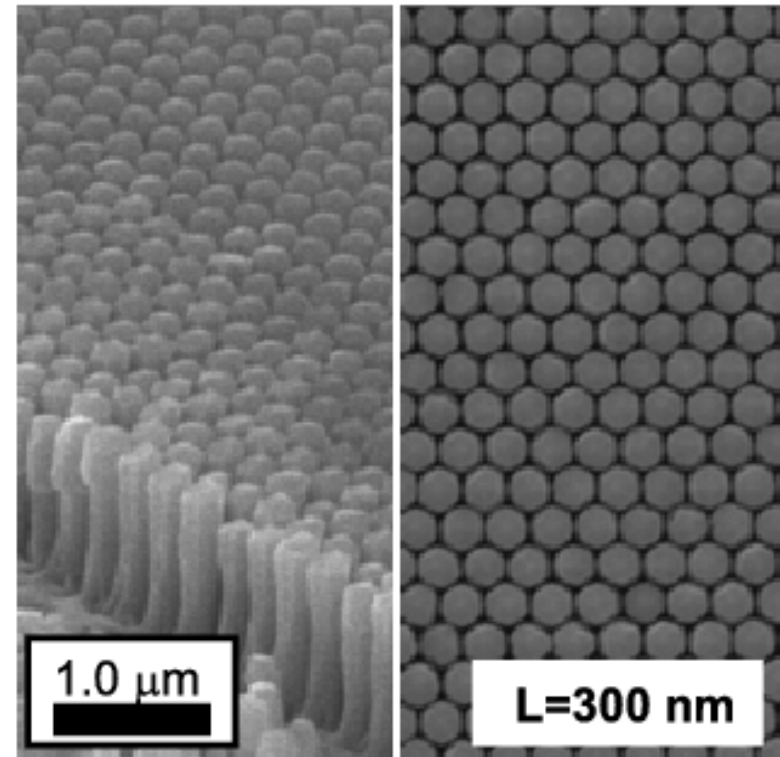
(b)



# Nanocolumns



(a)

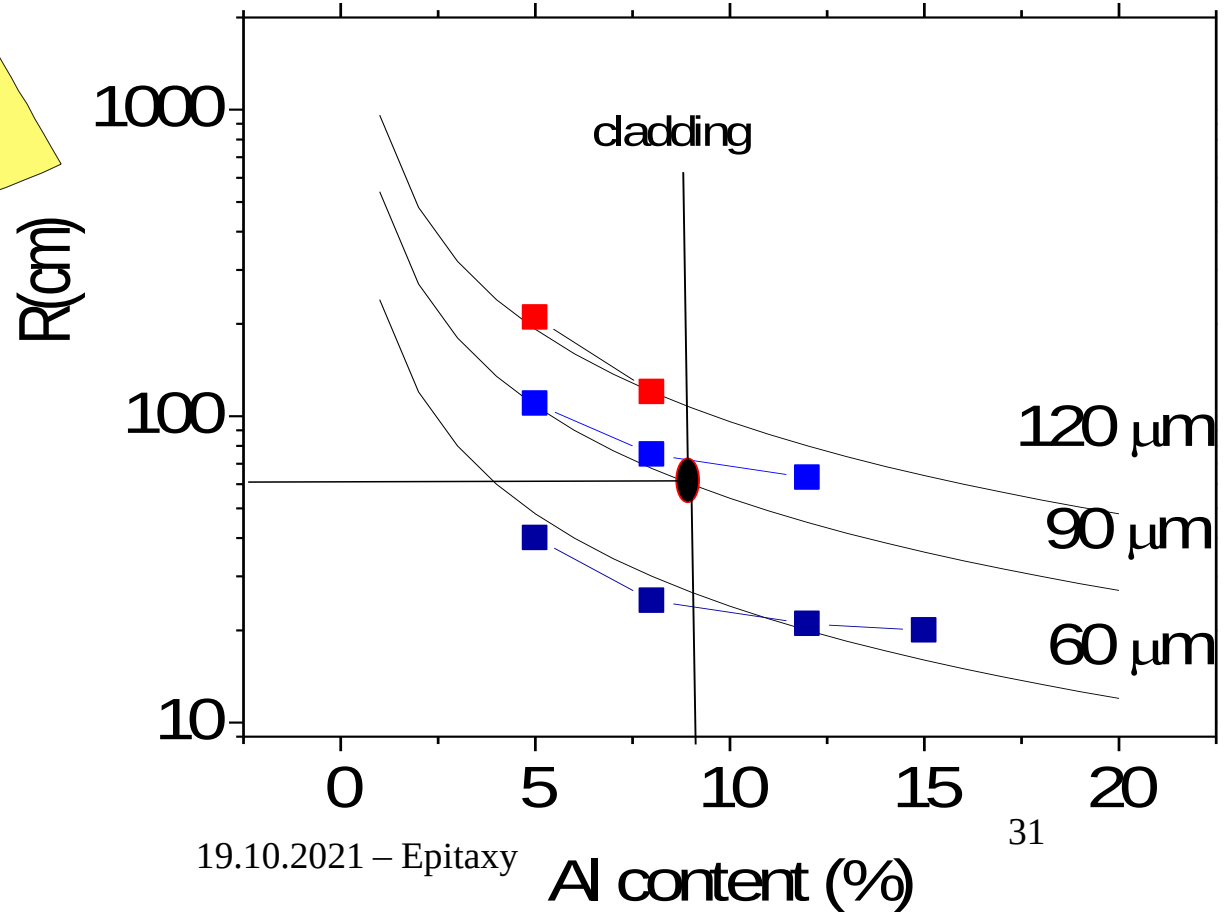
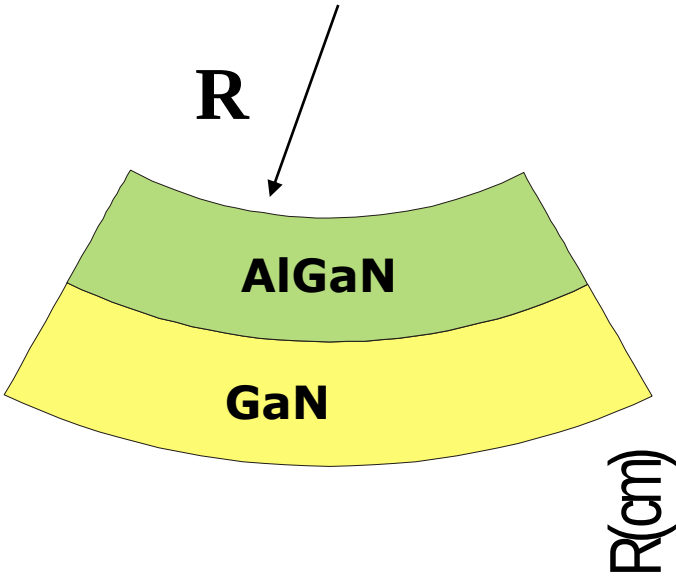


(b)



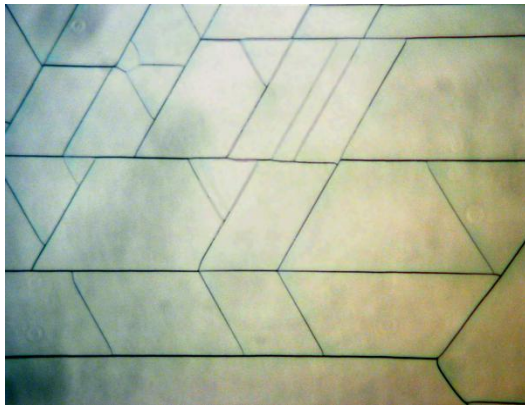
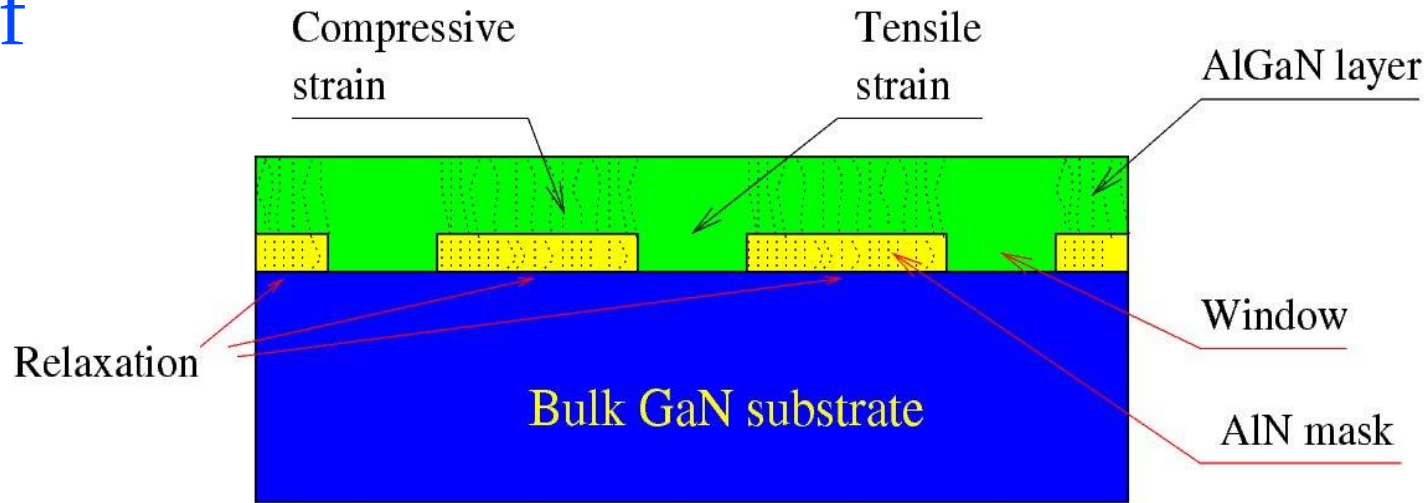
In LDs, we need to have thick AlGaN cladding layers

Tensile strain: cracking and bowing

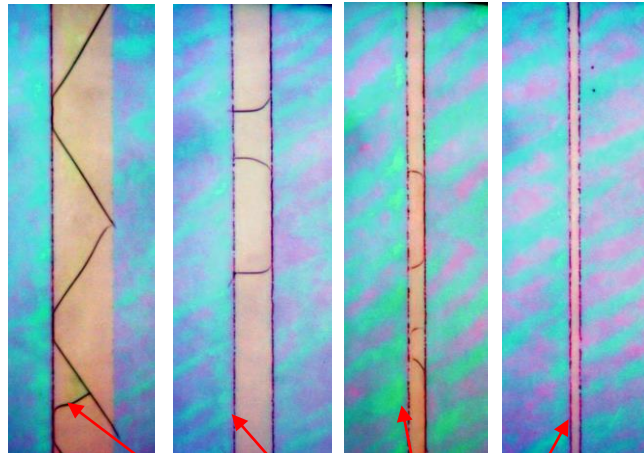


# Elimination of cracking and bowing

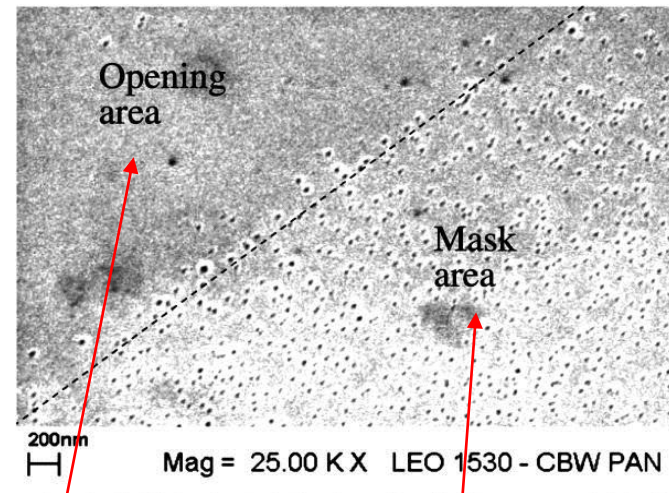
**AlGaN 27%  
220 nm**



**No mask AlN**



**With mask 15 10 5 3  $\mu\text{m}$**

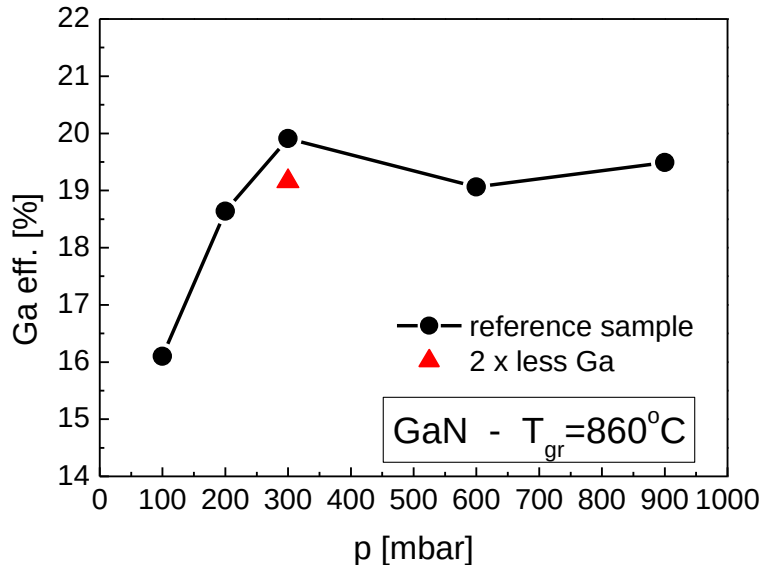


**Density of defects:** 19.10.2021 – Epitaxy  
window  $< 10^6/\text{cm}^2$       on mask  $10^{10}/\text{cm}^2$

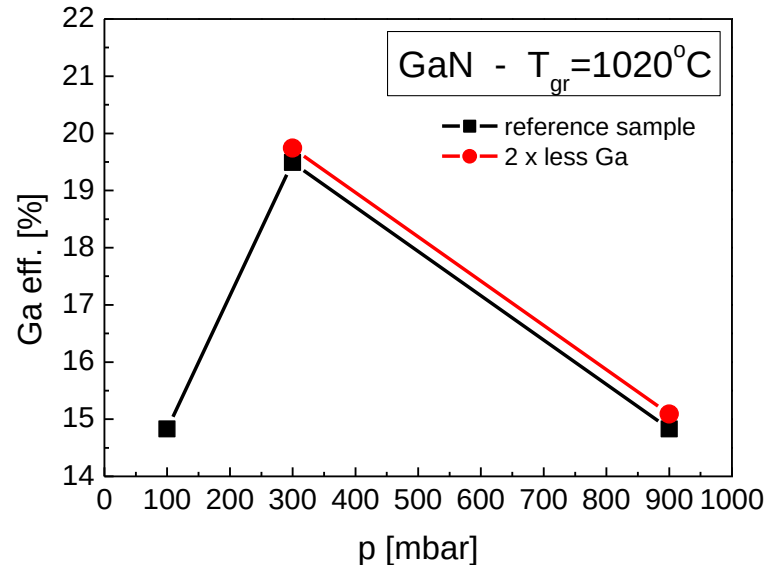
1. Epitaxial reactors
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5. **Atom incorporation efficiency**
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# Efficiency of Ga incorporation into GaN in HM

Efficiency= number of atoms in gas phase/ number of atoms incorporated into the epi layer



At low pressure, the Ga atoms are blown away from the surface.



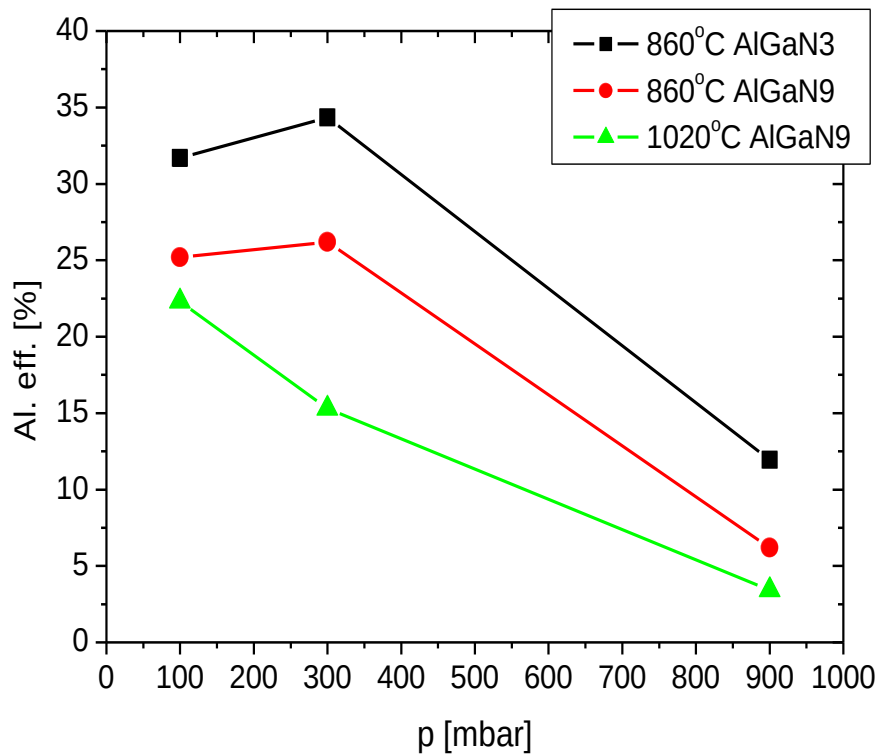
At high pressure and temperature, the rate of prereactions increase which prevents Ga incorporation

Efficiency of Ga incorporation independent on amount of TEGa

19.00.2020 - Epitaxy

34

# Efficiency of Al incorporation into AlGaN



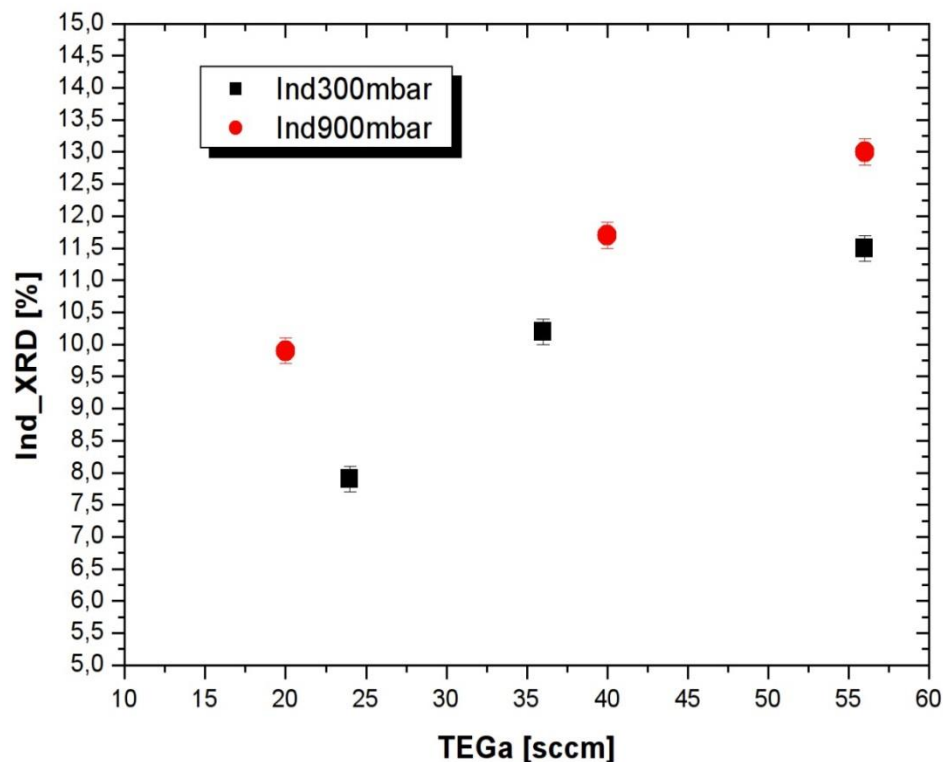
Efficiency of Al incorporation falls down with TMAI flow increase

Prereactions rate higher than in the case of GaN

More prereactions at high temperature and pressure

3 sccm of TMAI  
9 sccm of TMAI

# Efficiency of In incorporation into InGaN versus TEGa flow

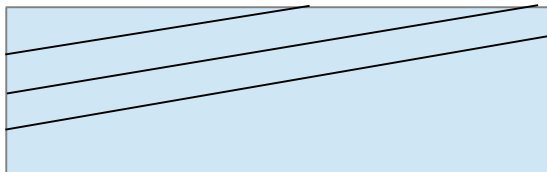
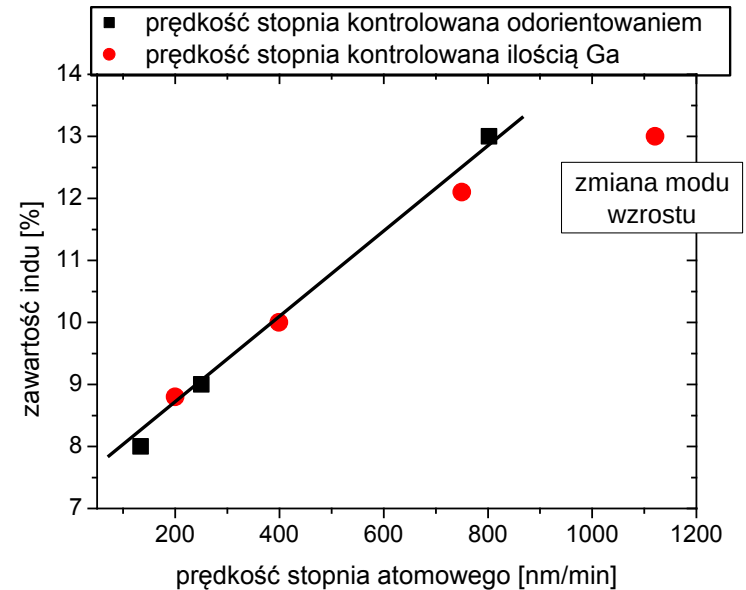
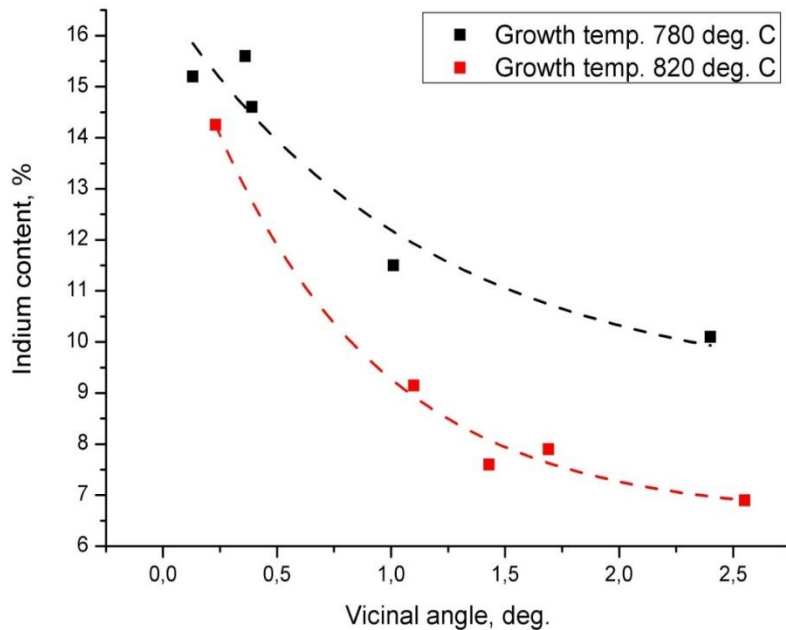


More Ga source in the gas phase,  
but more In in InGaN solid phase.

More indium at elevated pressure  
(not for every reactor!)

In atoms to be incorporated must  
be surrounded by Ga atoms

# In incorporation into InGaN layers versus GaN substrate off-orientation



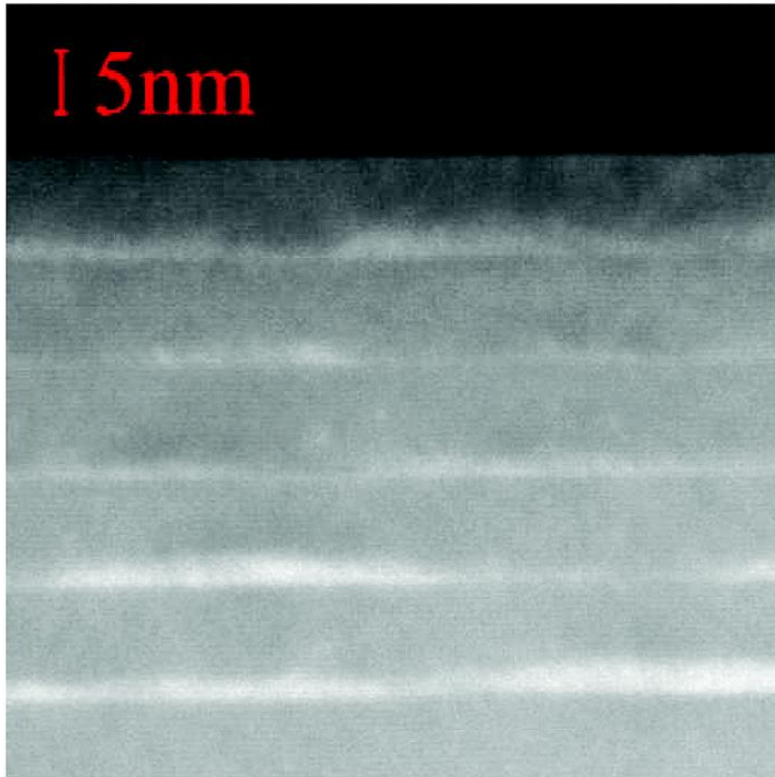
If we have bad morphology (steps are not identical), we deal with In inhomogeneous incorporation



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## As grown InGaN QWs



**Indium fluctuations**

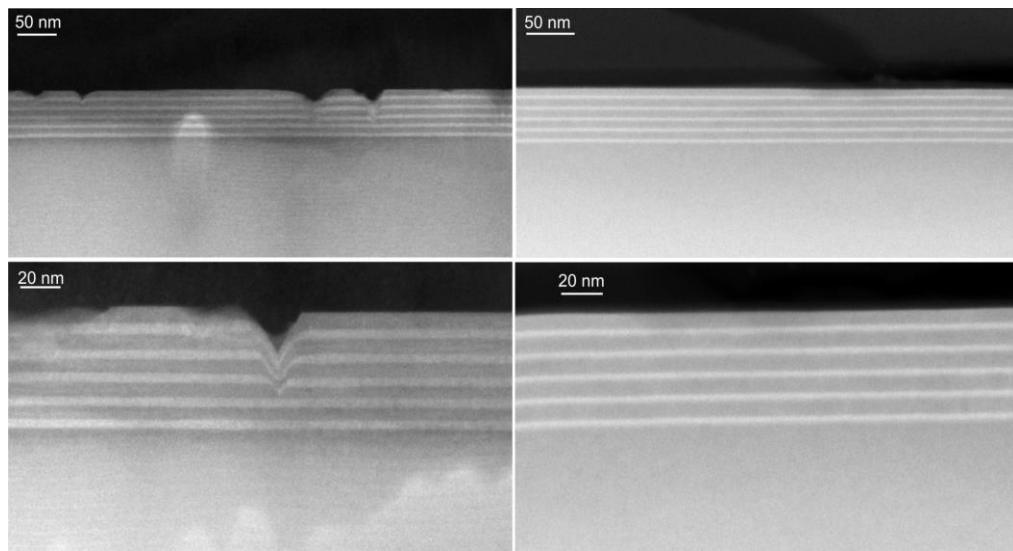
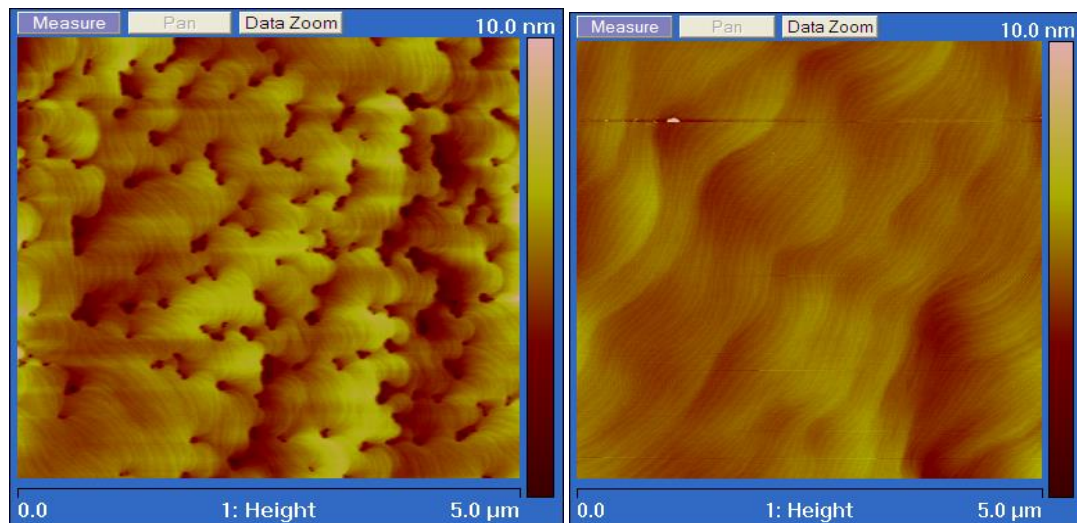
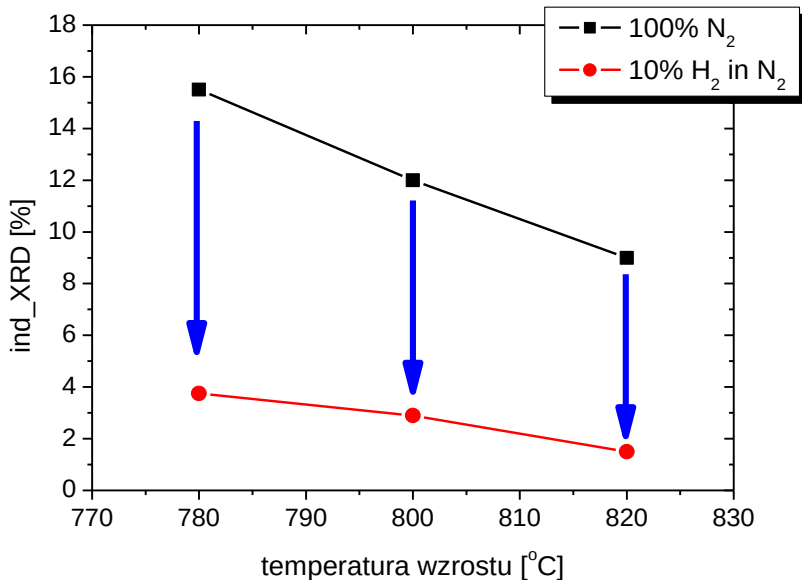
**QW thickness fluctuations**

**Acceptable for LEDs  
Not for LDs**

# Examples of MOVPE growth: InGaN Quantum Wells

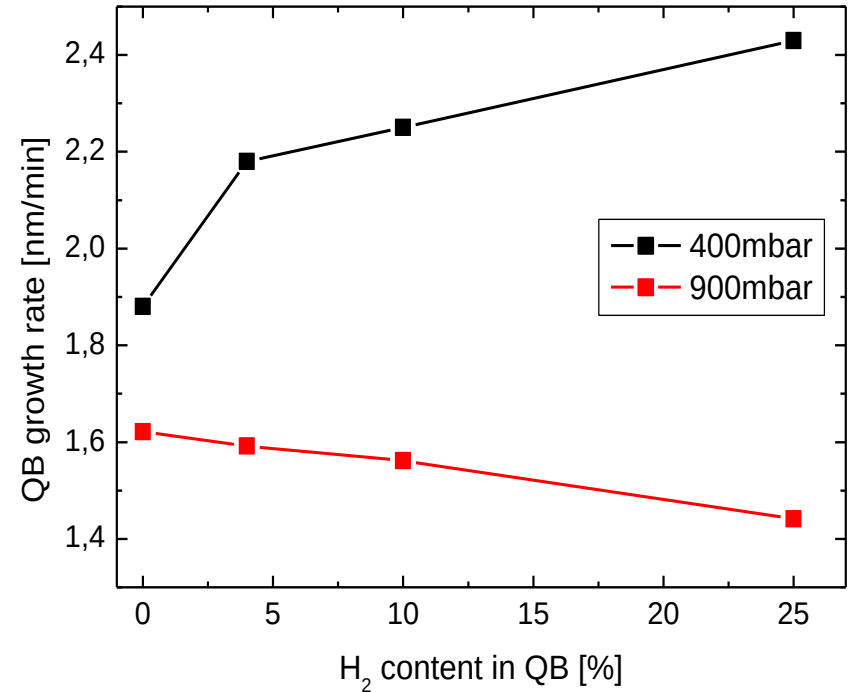
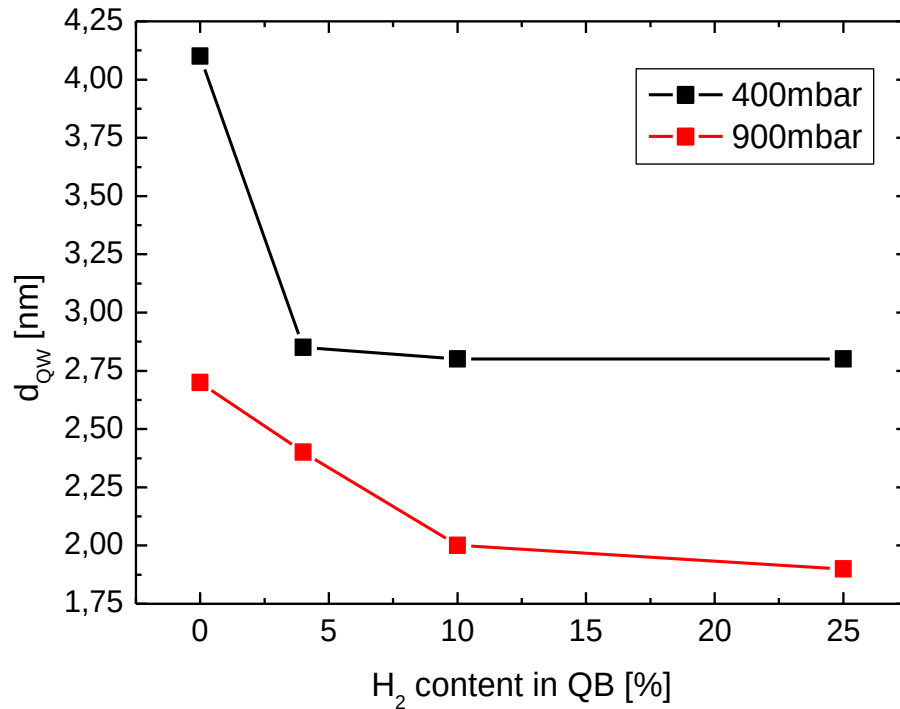
1. Influence of temperature
2. Influence of hydrogen as a carrier gas
3. Homogenization and decomposition

# Influence of hydrogen used in the carrier gas



We use hydrogen for growing the QBs- but with special care

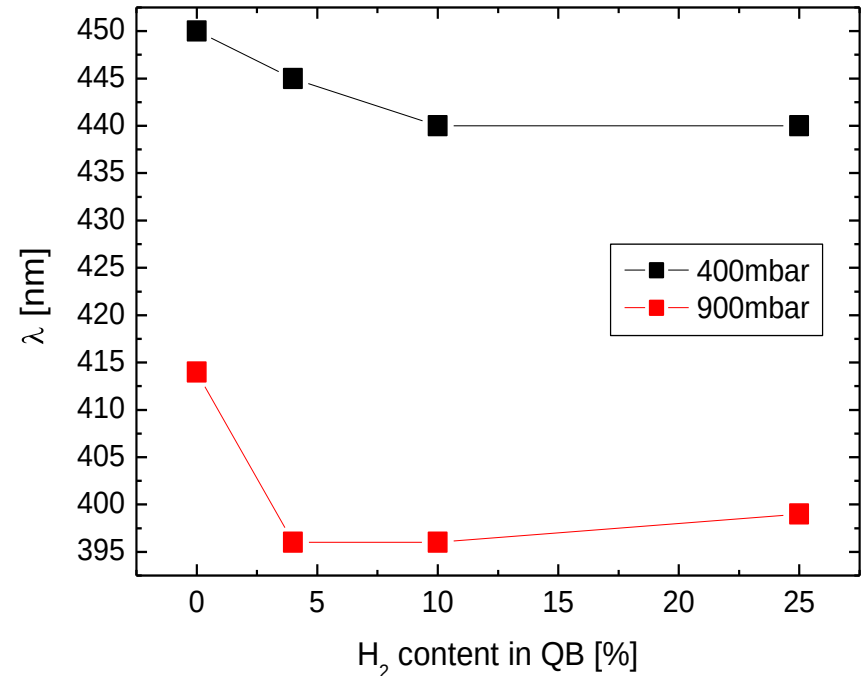
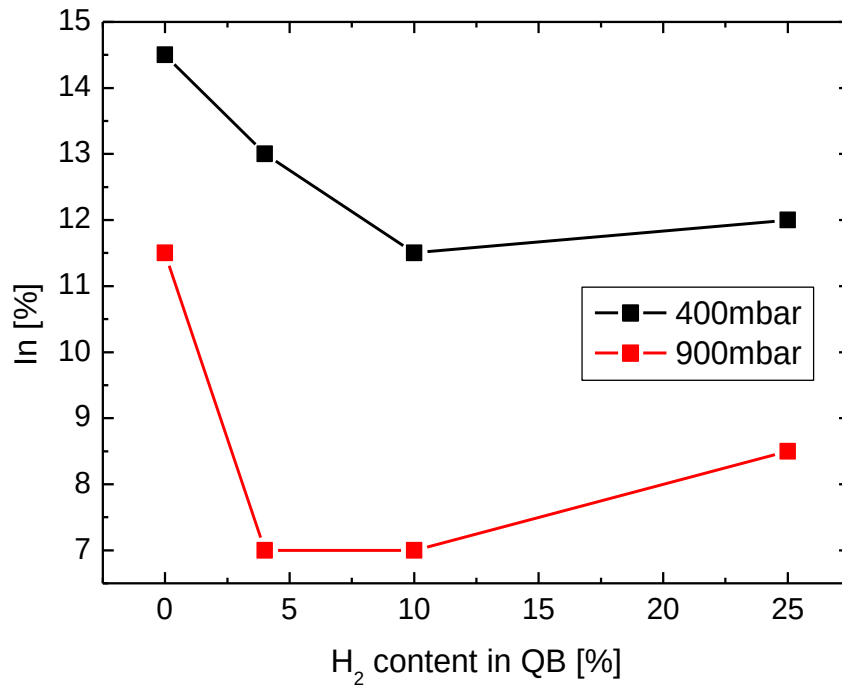
# Influence of hydrogen used in the carrier gas during GaN barrier growth in CCS



Hydrogen used in QB growth etches off InGa<sub>N</sub> QW more efficiently at high pressure

Hydrogen increases the growth rate of GaN (QB) at low pressure, decreases at higher pressure.

# Influence of hydrogen used in the carrier gas during GaN barrier growth in CCS



Hydrogen used in QB growth etches In off InGaN QW more efficiently at high pressure

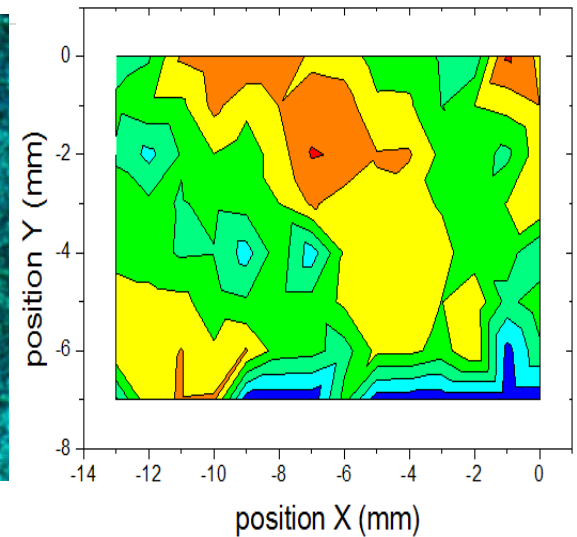
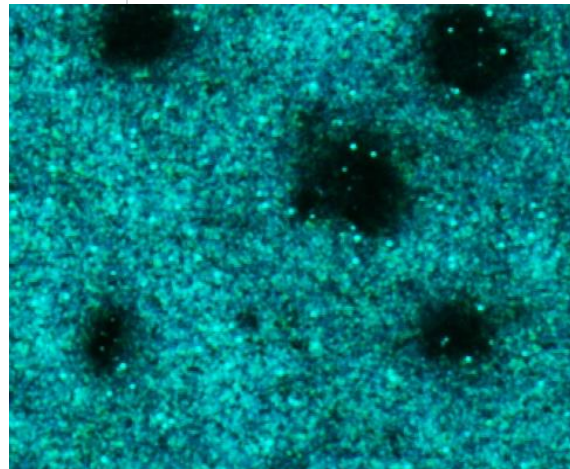
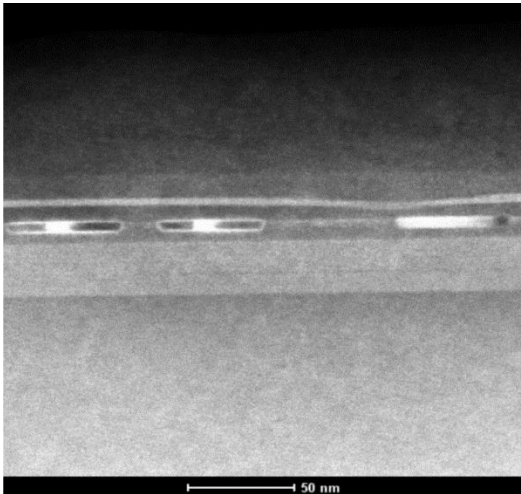
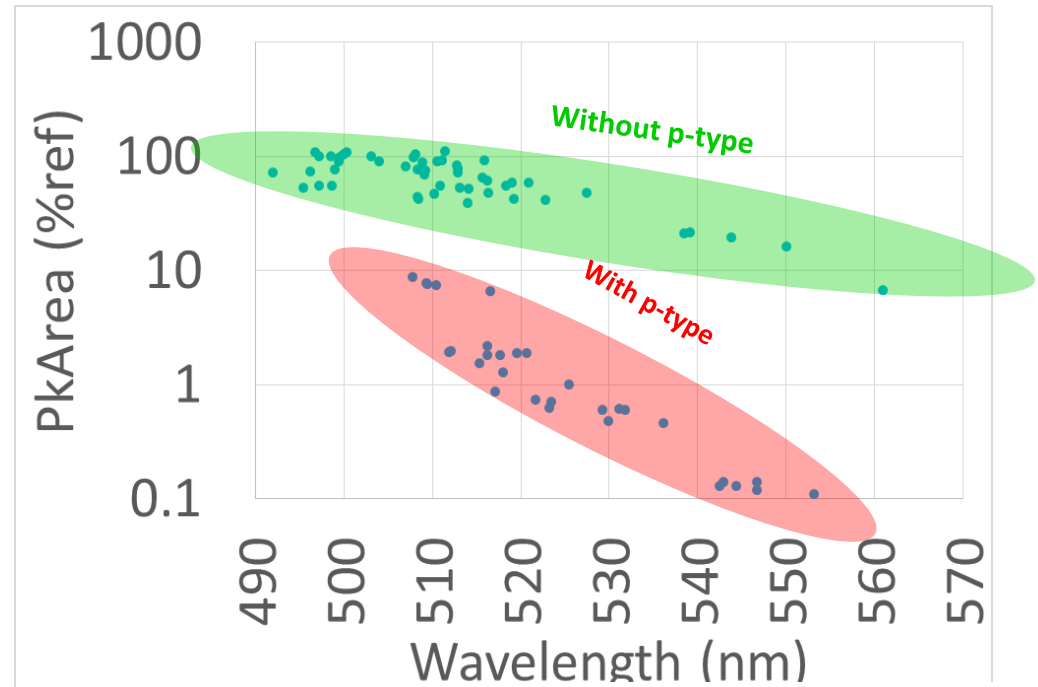
# Growth temperatures of the laser diode structure

|                 |                                                                                                          |
|-----------------|----------------------------------------------------------------------------------------------------------|
| GaN:Mg          | $T_{Gr} = XXXX^{\circ}C$                                                                                 |
| AlGaN:Mg        |                                                                                                          |
| GaN:Mg          |                                                                                                          |
| EBL - AlGaN:Mg  |                                                                                                          |
| Cap – GaN       |                                                                                                          |
| Cap – GaN       | $T_{Gr} \text{ QW} < 740^{\circ}C$<br><br>$T_{Gr} \text{ QB} > 740^{\circ}C$<br><br>For In content > 15% |
| QW - InGaN      |                                                                                                          |
| QB – GaN        |                                                                                                          |
| InGaN           |                                                                                                          |
| GaN             | $T_{Gr} = 1000^{\circ}C$                                                                                 |
| AlGaN:Si        |                                                                                                          |
| GaN:Si          |                                                                                                          |
| 19.10.2021 – Ep |                                                                                                          |
| Substrate       |                                                                                                          |

To obtain good p-type XXXX should be as high as possible (above 900°C).

At high temperatures, InGaN QWs change

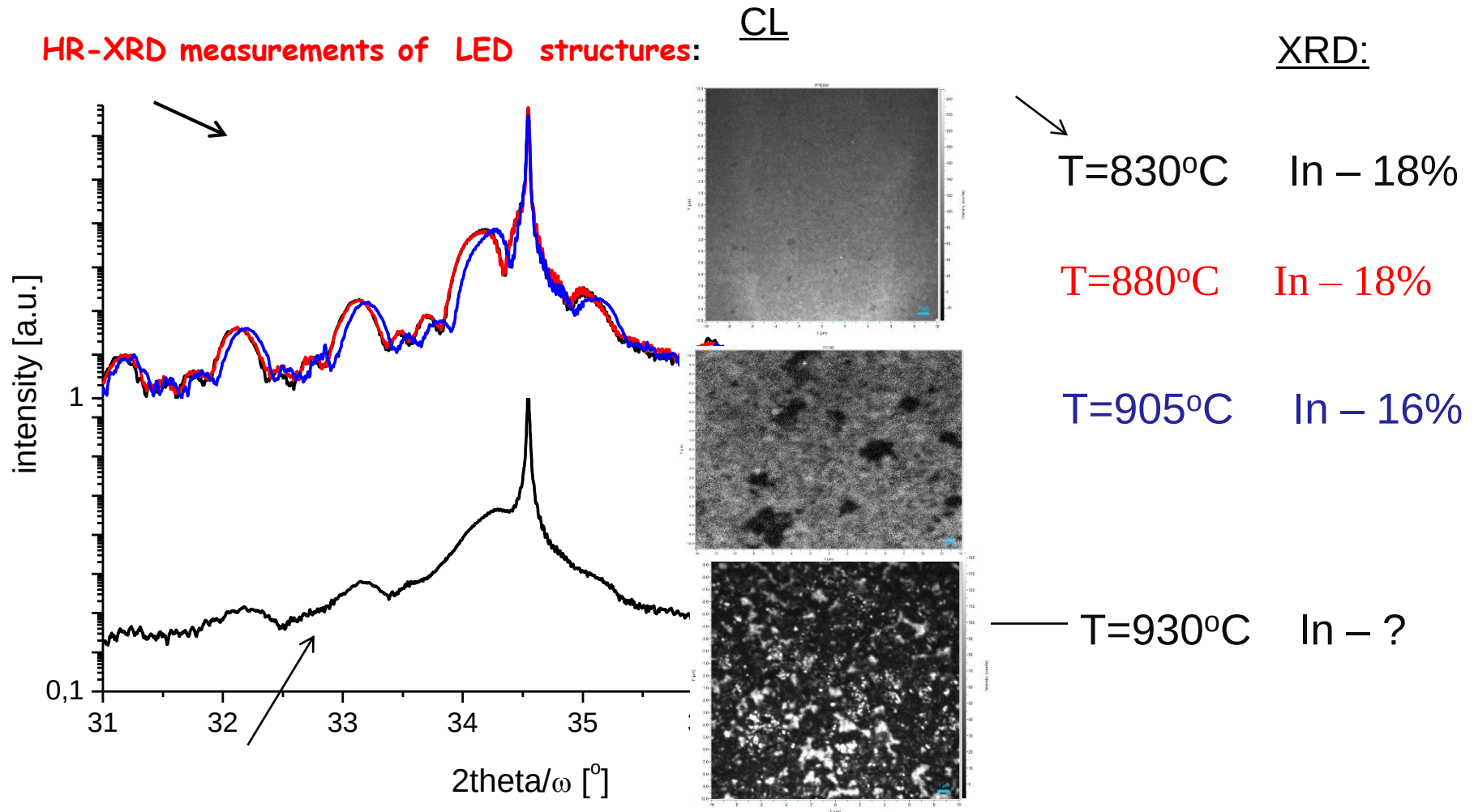
# InGaN MQW decomposition



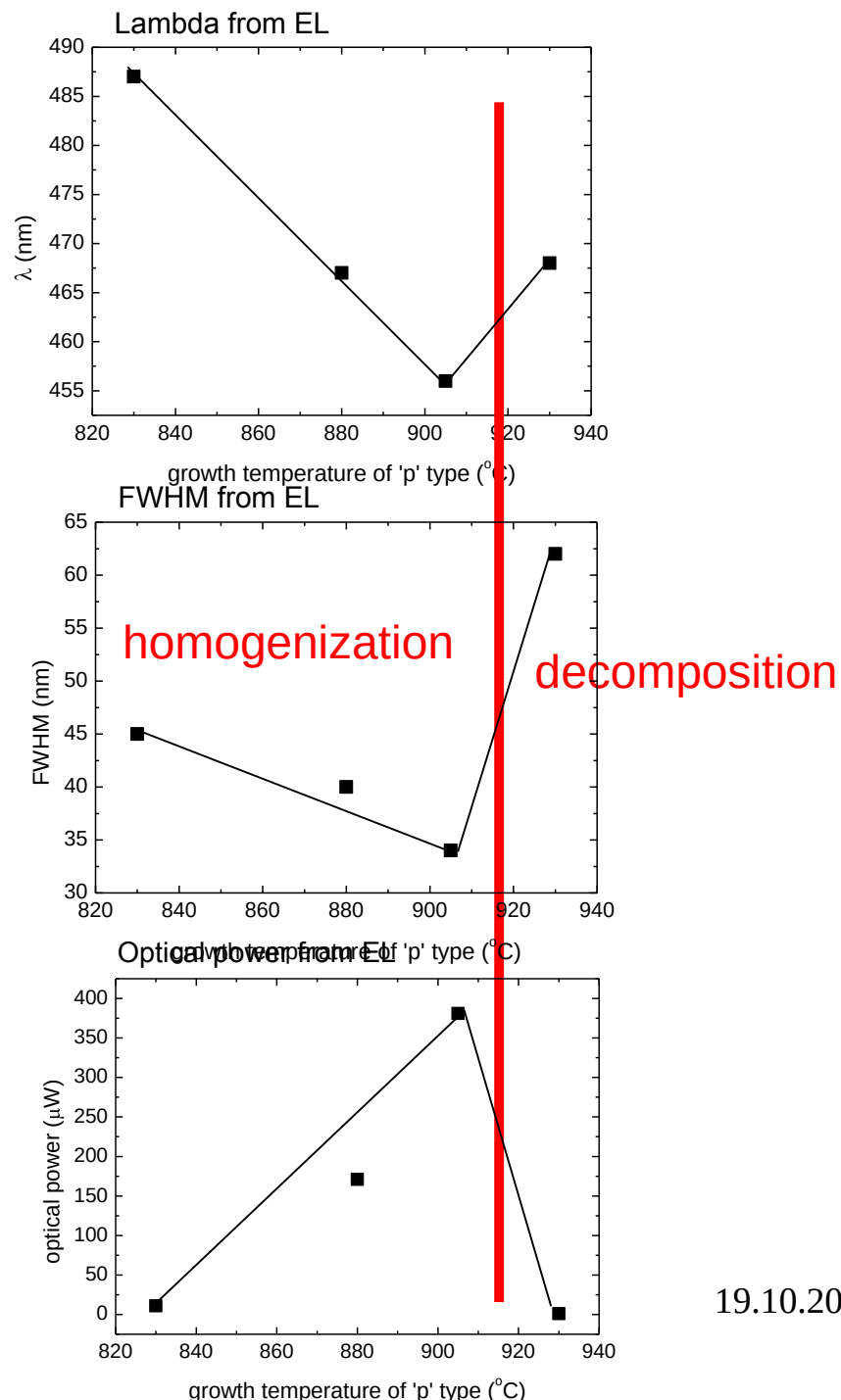
TEM: nanometer scale, Fluorescent microcopy: micrometers, MicroPE: millimeters



# Changes of the MQWs during p-type growth at high temperature: samples on sapphire



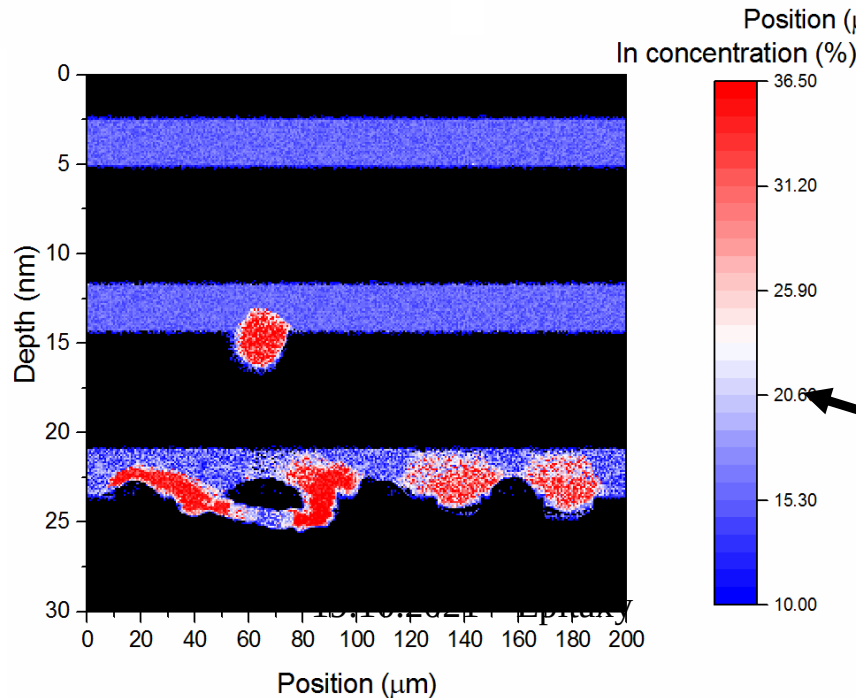
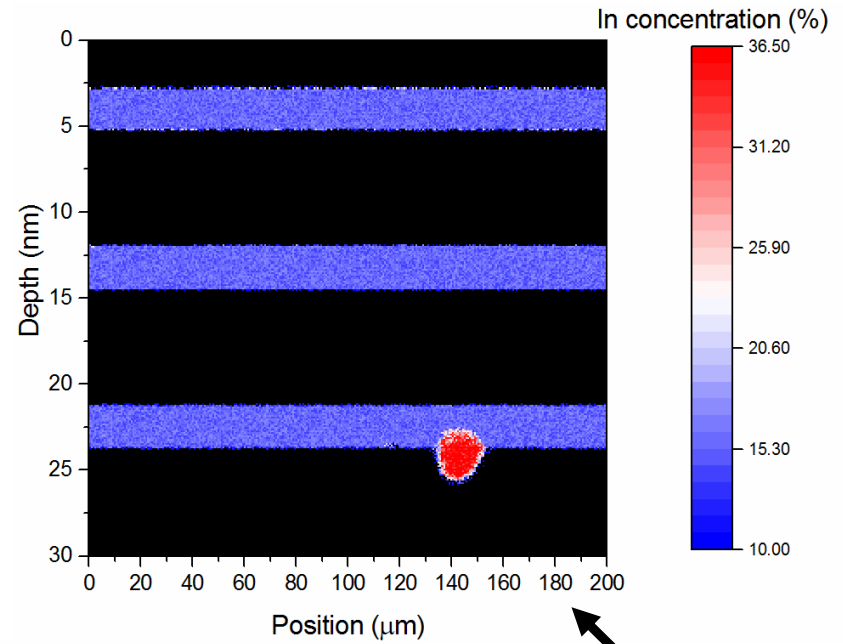
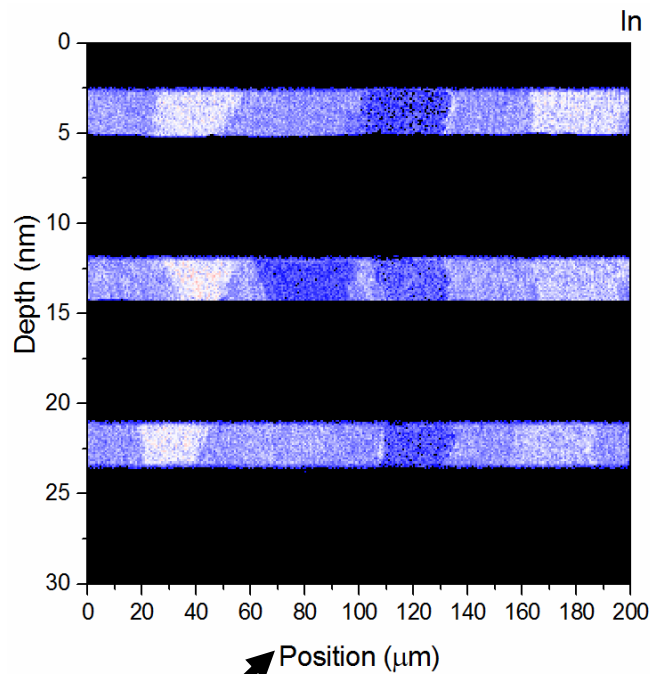
At high temperature satellite peaks becomes much more broader and disappear: QWs degradation occurs



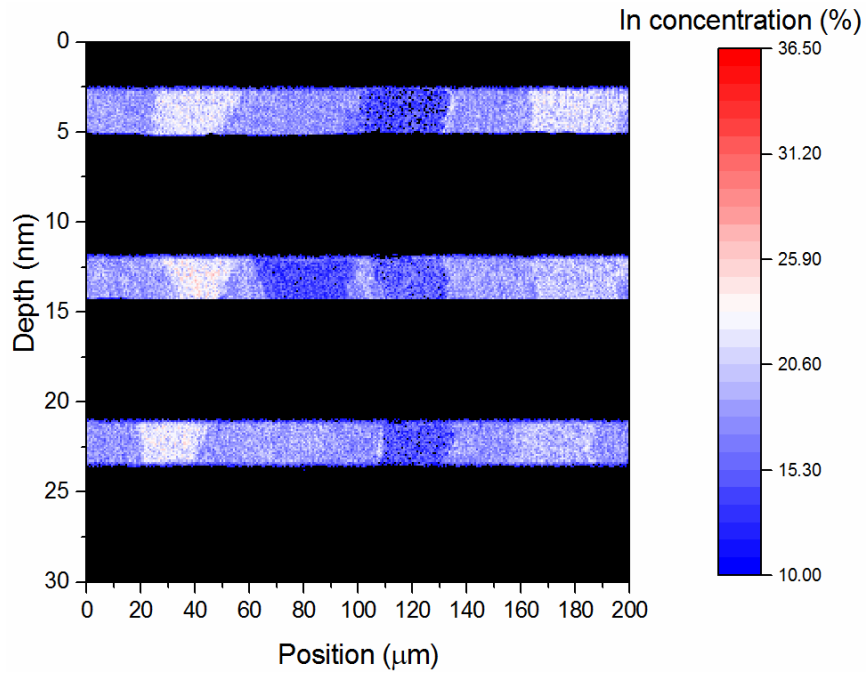
For samples on sapphire (high dislocation density) we are able to homogenize InGaN QWs by growing p-type at high temperature.

If this temperature is too high, we deal with a catastrophic damage

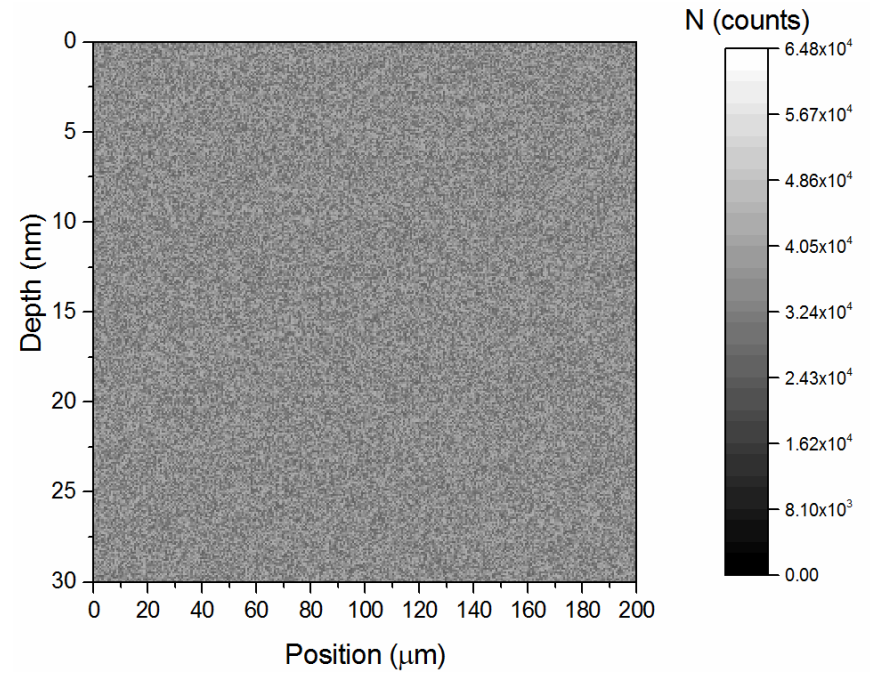
# SIMS data of In-content



# as grown

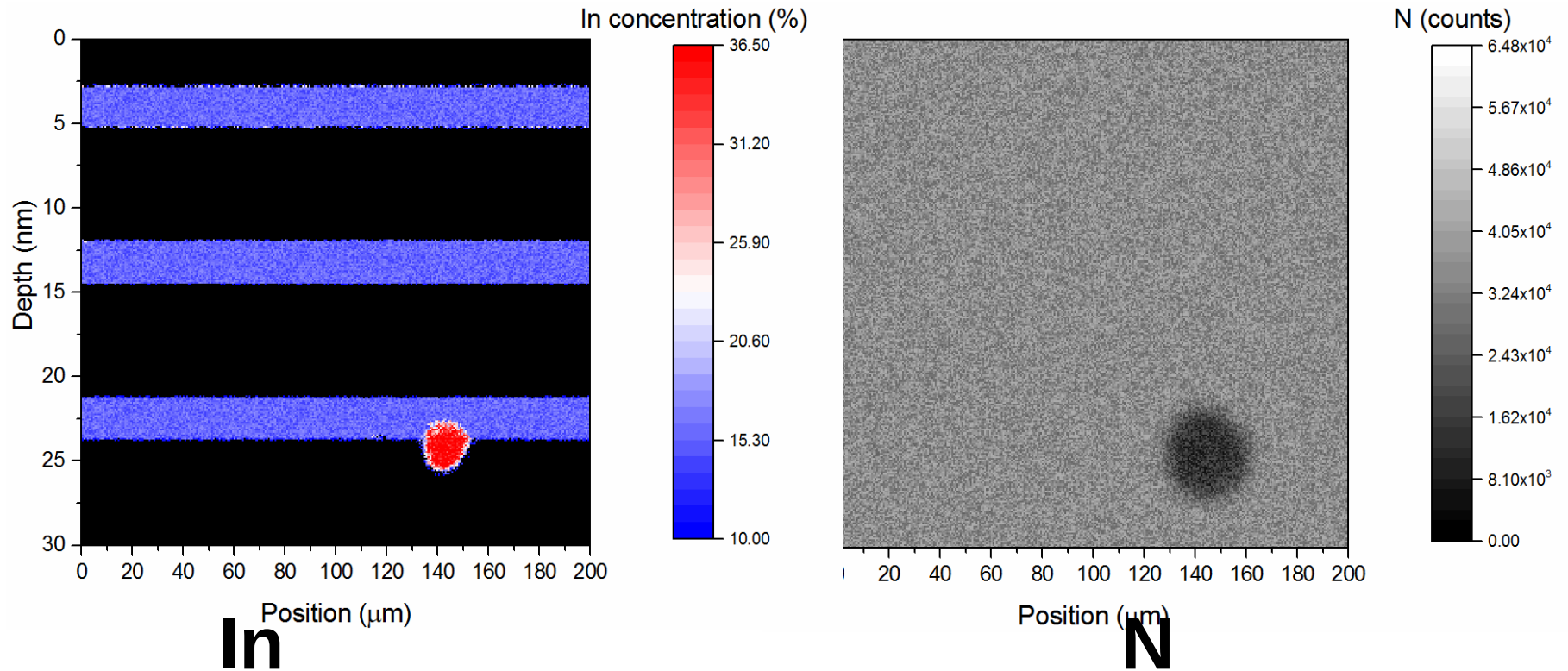


## In

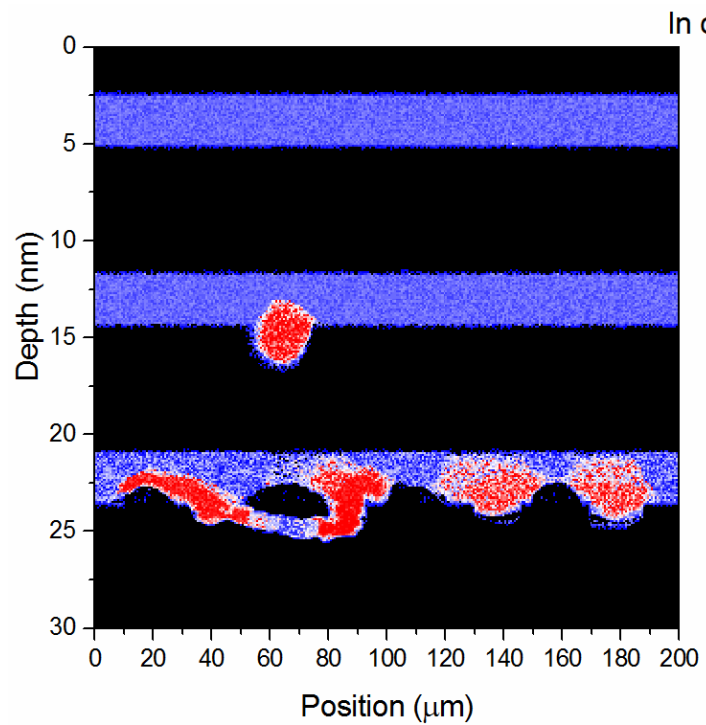


## N

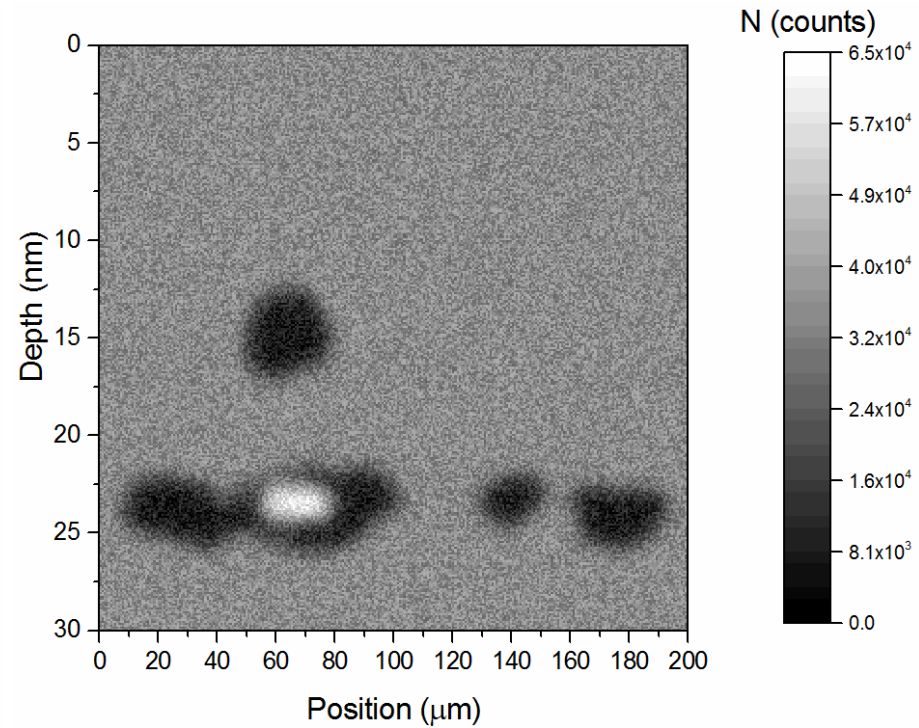
# homogenized



# decomposed



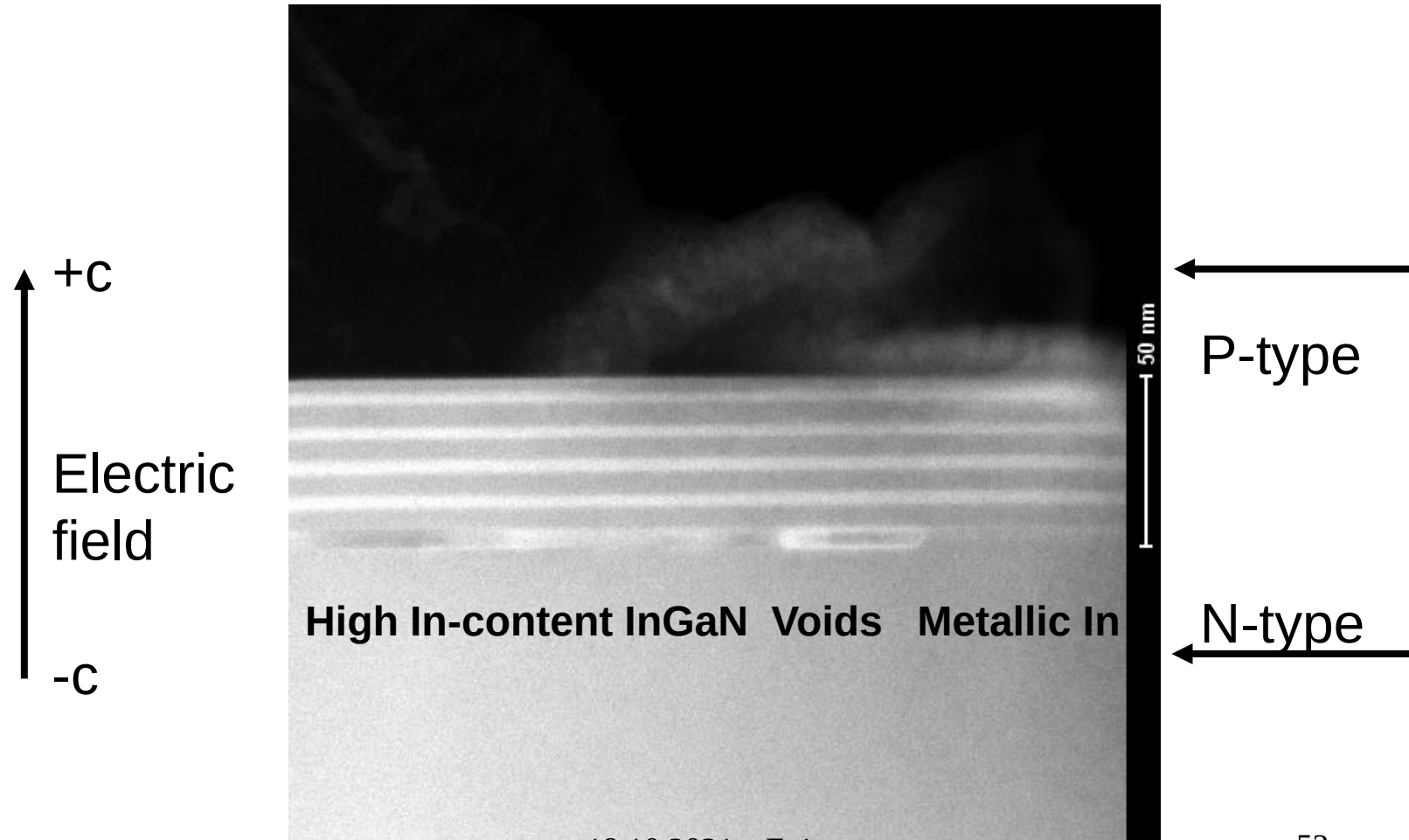
**In**



**N**



# Why does the decomposition start from the first QW?



**Diffusion of vacancies?**

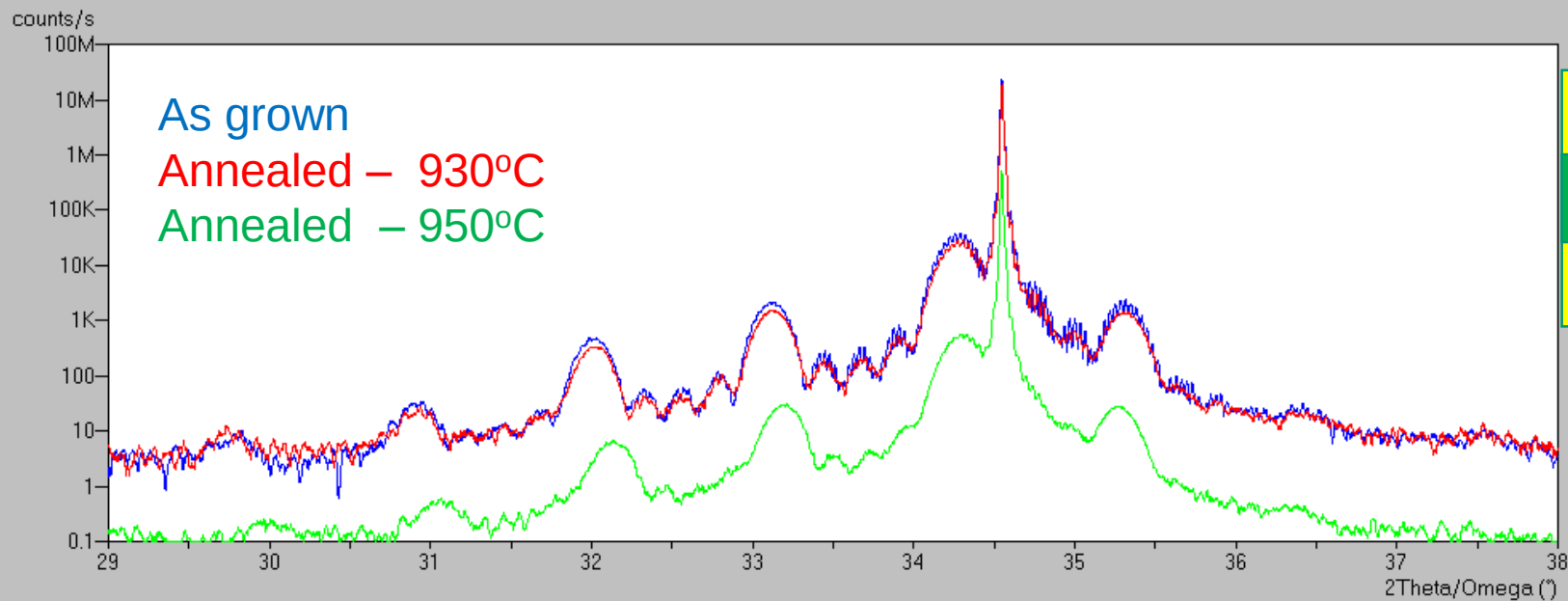
**In GaN:Si we have more Ga-vacancies**

**In GaN:Mg we have more N-vacancies**



# Diifferent doping below and above the InGaN/GaN MQWs

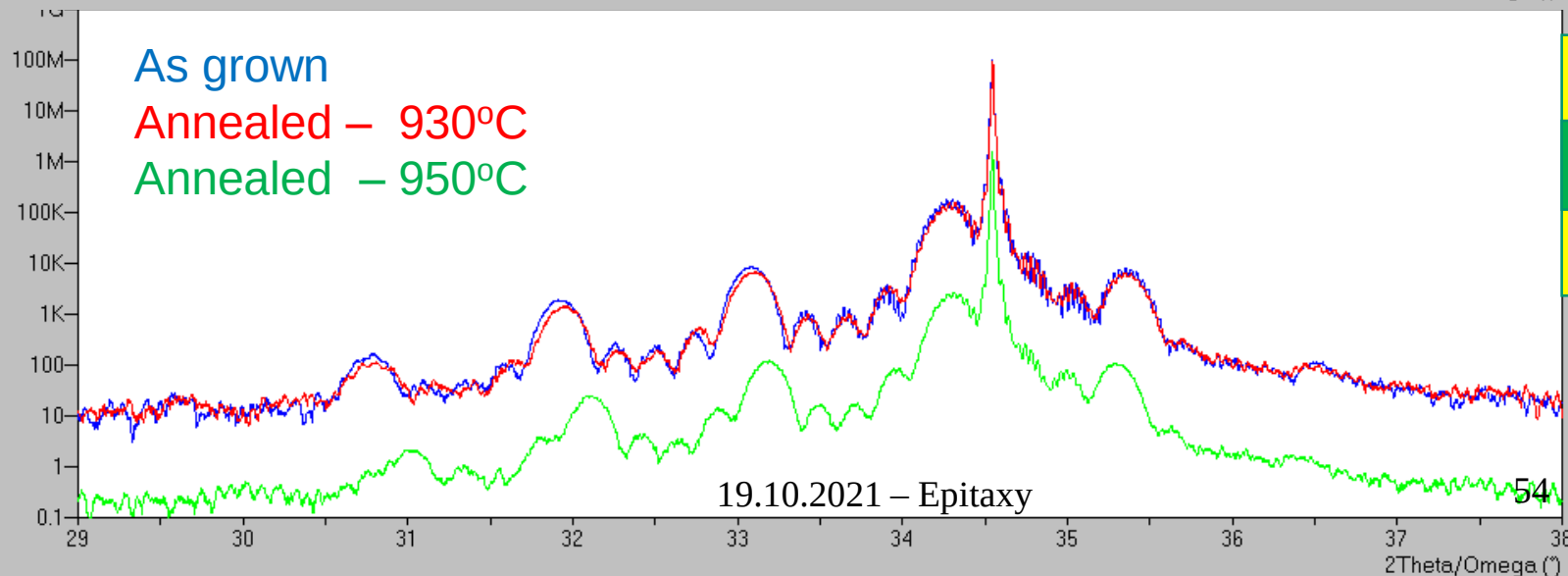
5QWs - (460 nm) - 17%In



GaN:Si

5QWs

GaN:Si



GaN:Mg

5QWs

GaN:Mg

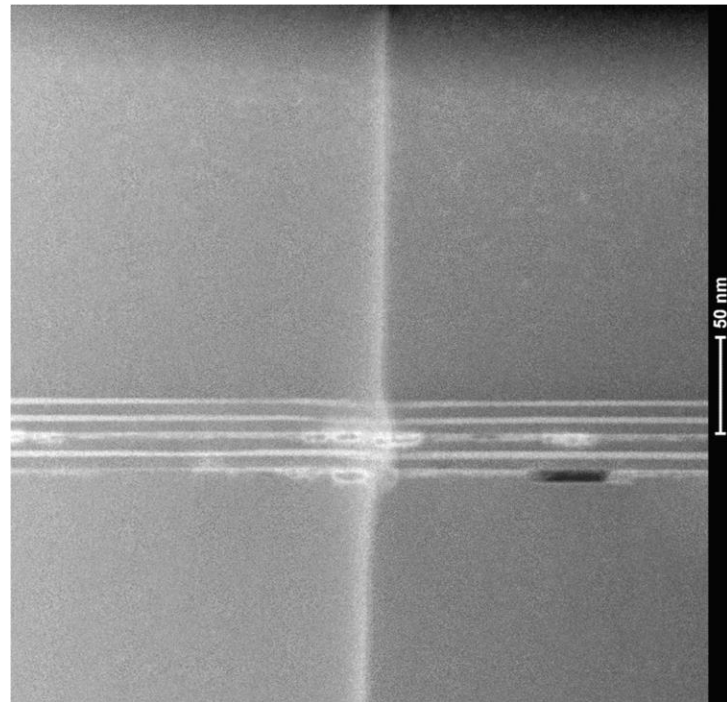
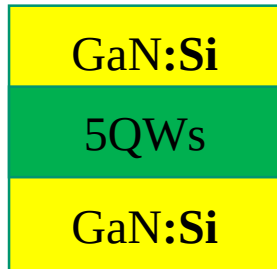
19.10.2021 – Epitaxy

54

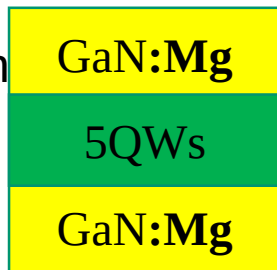
## After annealing at 950°C

No decomposition from the top

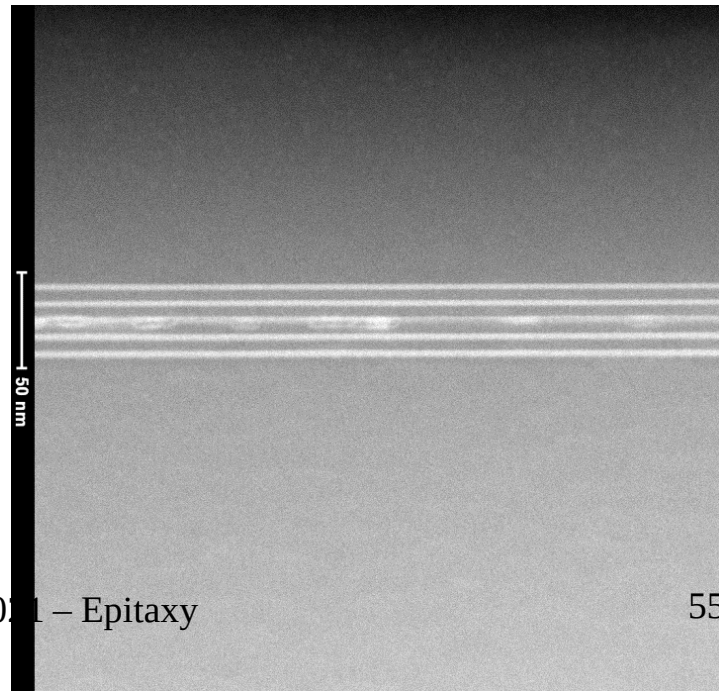
Electric field  
driven diffusion?  
Yes!



No decomposition  
above and below  
GaN:Mg

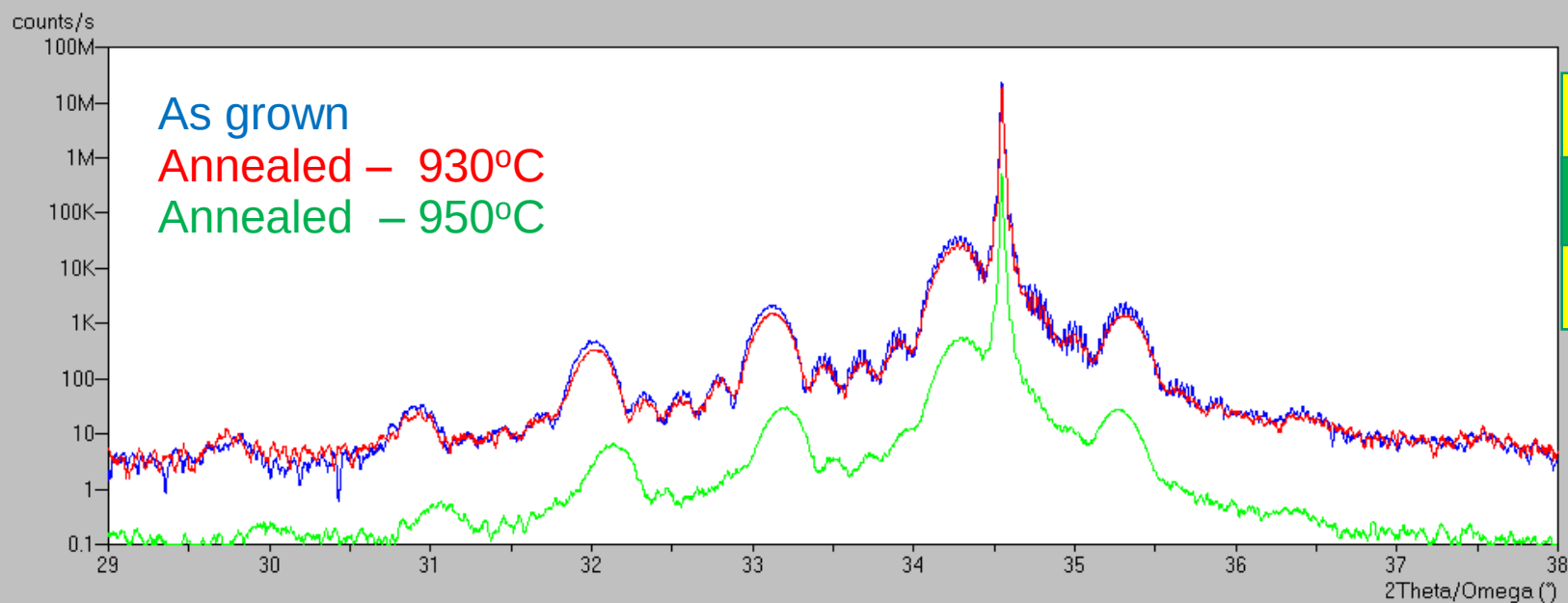


Ga-vacancies?  
Yes!



# Diiferent doping below and above the InGaN/GaN MQWs

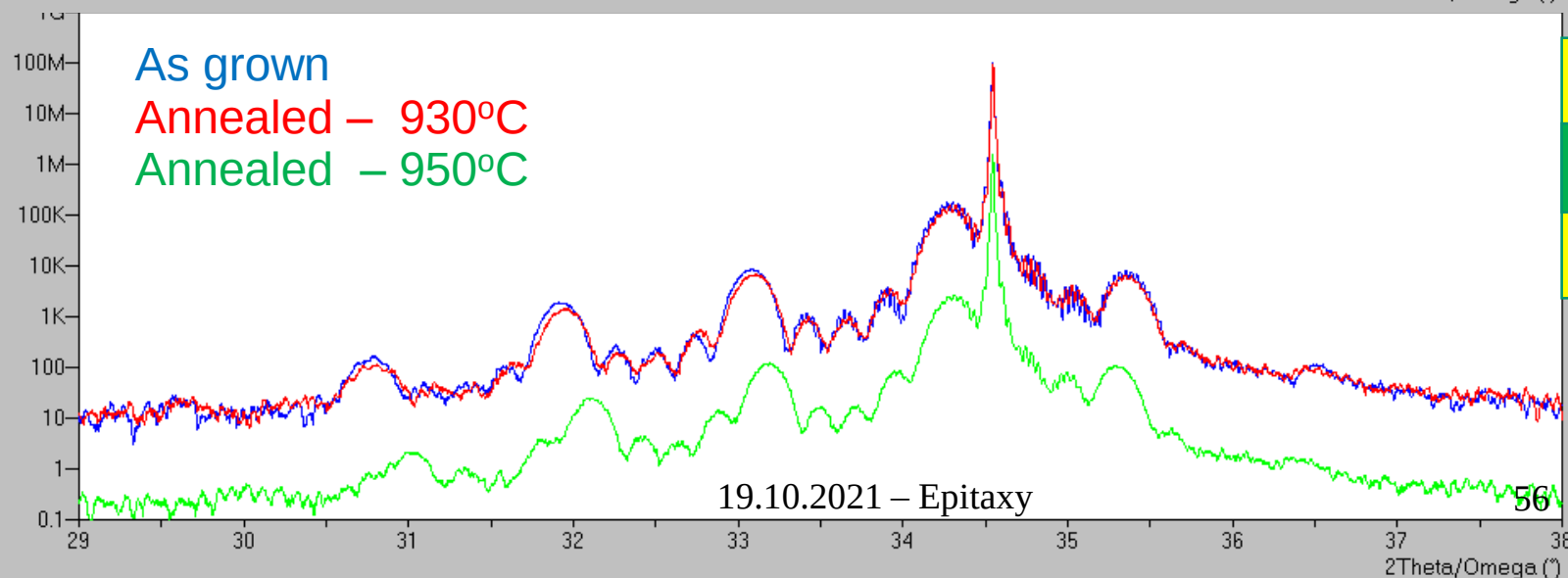
5QWs - (460 nm) - 17%In



GaN:Si

5QWs

GaN:Si



GaN:Mg

5QWs

GaN:Mg

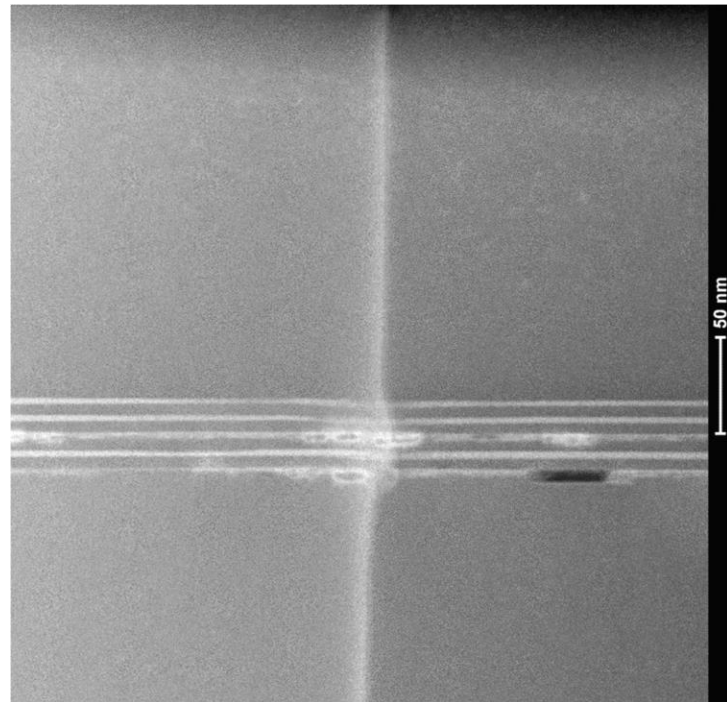
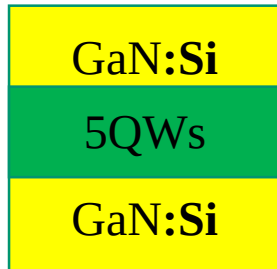
19.10.2021 – Epitaxy

56

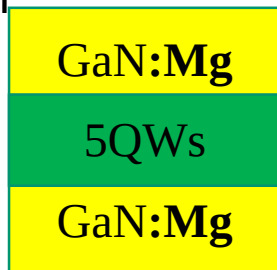
## After annealing at 950°C

No decomposition from the top

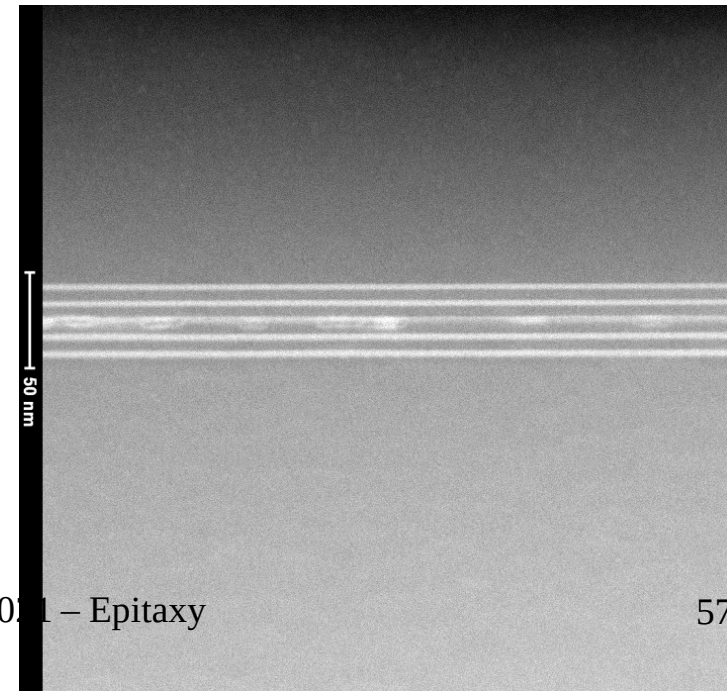
Electric field  
driven diffusion?  
Yes!



No decomposition  
above and below  
GaN:Mg



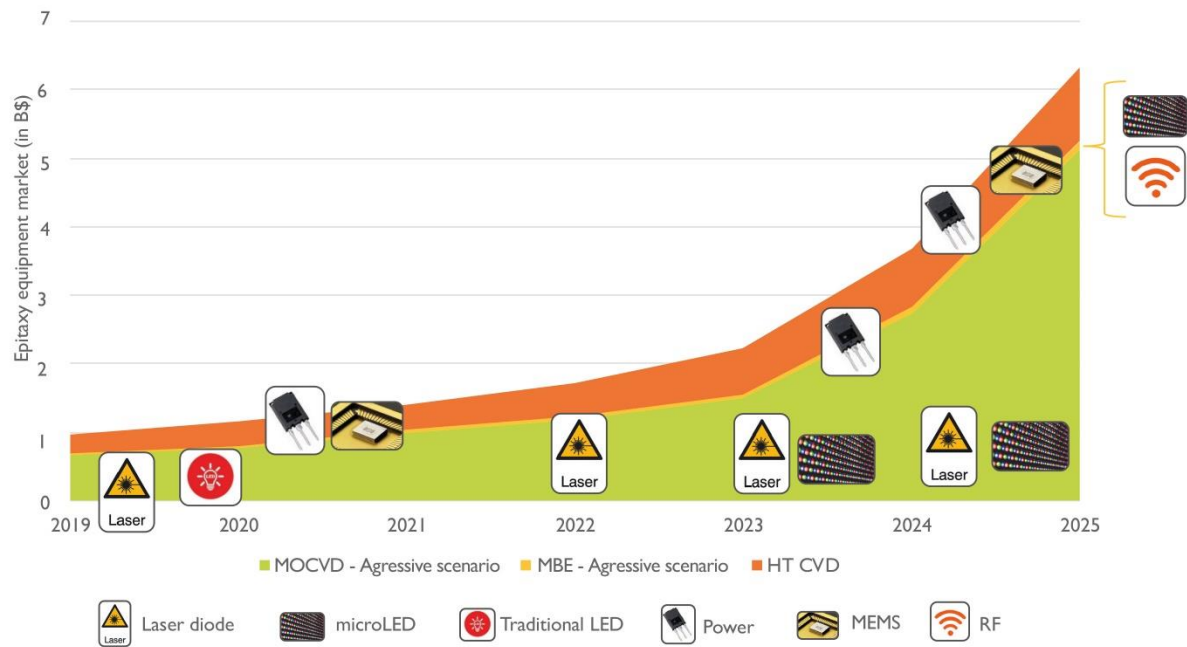
Ga-vacancies?  
Yes!



# Thank you for your attention!

## Epitaxy equipment market for More than Moore devices: 2019-2025 breakdown by technology

(Source: Epitaxy Growth Equipment for More Than Moore Devices Technology and Market Trends 2020 report, Yole Développement, 2020)



About 500 epitaxial systems installed every year, 2000 in 2025.